Safe protocol of resuming routine dental procedures in a tertiary care hospital setting post COVID-19 lockdown

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Abstract.

BACKGROUND: The outbreak of the COVID-19 pandemic greatly affected dentistry. Dental procedures are considered one of the modes of transfer of COVID-19 infection due to generation of aerosols. To prevent transmission of this virus through dental procedures, guidelines were issued by the World Health Organisation (WHO), Centers for Disease Control and Prevention (CDC) and Ministry of Health of each country.

OBJECTIVE: The aim of this study is to establish a safe protocol for performing dental procedures in a crisis capacity situation of personal protective equipment (PPE).

METHODS: A strategy for performing the dental procedures was formulated in accordance with the guidelines provided by the Ministry of Health, Pakistan before vaccination of HCPs against COVID-19. These guidelines also accorded the strategies provided by the WHO and CDC. 40 health care professionals (HCPs) participated in the study and were divided in two groups. Group A performed non-aerosol generating procedures (non- AGPs) and group B performed aerosol generating procedures (AGPs). A total of 6372 aerosol generating procedures were performed from 1 August 2020 to 31 March 2021. The safety of this protocol was established by the number of HCPs contracting COVID-19 infection.

RESULTS: Only 1 HCP contracted COVID-19 infection preceding the AGPs from group B following the formulated strategy. **CONCLUSION:** Reported strategy based on the dental system in Pakistan is considered safe to be implemented worldwide during the COVID-19 pandemic.

Keywords: Ministry of health, pakistan, centers for disease control and prevention, personal protective equipment, health care professionals, COVID-19

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1. Introduction

Public health emergency of an international concern was declared on 30 January 2020 by the World Health Organisation (WHO), with the outbreak of novel coronavirus (COVID-19) worldwide [1]. The first case of COVID-19 was reported in Wuhan, China on 17 November 2019 [2]. Shortly after which the first case in Pakistan was reported on 26 February 2020 [3] WHO declared COVID-19 as a pandemic on 11 March 2020 [4]. Dental healthcare provision was among other health services which were affected during COVID-19 pandemic [5]. Based on current evidence the WHO concluded that respiratory droplets and contact exposures were major modes of transmission of COVID-19 [6]. Air borne transmission refers to microbes within droplet nuclei that <5 m in diameter and travel more than 1m distance. This mode of transmission occurs via aerosols [7–9]. Dental procedures generate aerosols which generally include ultrasonic scaling, tooth polishing, air polishing, air abrasion, slow and high-speed rotary instrumentation, and use of air-water triple syringe [10] and intraoral radiographs [11]. The Occupational Safety and Health Administration subsequently designated all AGPs as 'very high risk' in patients with known or suspected COVID-19 due to aerosol's travelling capability and their ability to survive on various inanimate surfaces for few days [12-14]. Performing these procedures with limited availability of PPE and other resources proves to be challenging, as various studies have reported lack of PPE availability globally including Pakistan [15]. Standard Operating Procedures (SOPs) were formulated for all dental procedures in accordance with the Universal Standard Protocols released by Ministry of Health, Pakistan [18] and after thorough review of international guidelines [16, 17]. Aim of the current study is to establish safety of performing AGPs and Non AGPs and whether protocol established was sufficient to prevent COVID-19 transmission.

2. Methods

Dental procedures were started following resumption of services post COVID-19 lockdown on 1 August 2020 at an out-patient tertiary care dental hospital with 40 health care workers comprising of 12 specialists, 18 dentists, and 10 auxiliary staff members. Health care professionals (HCPs) were divided in two groups based on their specialities and previous experience. Group A comprised of 20 HCPs who performed non-AGPs while Group B which performed AGPs included 20 HCPs. Procedures were performed only in patients who were asymptomatic for COVID-19 virus, despite having history of travel (domestic or international). Patients who had recent history of contact with COVID-19 patients were considered as suspected cases of COVID-19. Such patients were provided with pharmacologic treatment, as they did not present with any dental emergency. All procedures were performed on the same day of patient's visit, while appointments were only given for second visit if deemed necessary. The SOPs for both procedures are described below.

2.1. Tele-health and receptionist-directed triage protocols

We used tele-health strategies to provide better patient care and to reduce risk of COVID-19 transmission. Patients were instructed to call and inform if they noticed any symptoms after dental treatment. Also, all patients were called twice after first and second week of hospital visit and asked about symptoms of COVID-19 virus as mean incubation period of COVID-19 is 5 days (range 2–14 days) [19]. Based on tele-health protocols, record was maintained about number of patients contracting COVID-19 infection following dental treatment. All HCPs were also monitored for signs and symptoms and advised to immediately self-isolate and remotely inform in case of any symptoms for further contact tracing.

2.2. Screening and triage of everyone entering hospital for signs and symptoms of COVID-19

COVID-19 sentinel was established at the singleentry point to the hospital facility. Emergency exit doors were used for exit separate from the entry doors. HCP in screening zone wore surgical face mask. Hand sanitisation was provided with 70% alcohol based disinfectant spray-bottles. Temperature was checked for everyone entering dental hospital with a noncontact thermometer and screening questionnaire was filled. Questionnaire was based on subjective symptoms as fever, dry cough, loss of taste and smell, myalgia, GI upset, previous history of COVID-19 infection, history of travel or contact with a COVID-19 infected person. Any person with fever >100.0 °F, suspected or confirmed COVID-19 infection was evaluated for urgency of dental care. According to the American Dental Association (ADA), these conditions include uncontrolled bleeding, facial space infection or cellulitis and facial trauma that compromises patient's airway [20]. Suspected or confirmed COVID-19 infected patients presented only for acute pain and were provided pharmacologic treatment. Patient attendants were restricted from entering the facility except in the case of caregivers assisting handicapped individuals and parents accompanying children under the age of 14.

2.3. Health care worker screening

Temperature of HCPs before entry into the hospital at the beginning of the day was checked. HCPs were prohibited from entering or remaining in the workplace if they had fever of 100.4°F or greater (or reported feeling feverish), or if screening results indicated COVID-19 infection. Infected HCP selfisolated and contact tracing was done, followed by screening of contacts for COVID-19 infection. HCPs strictly followed the source control measures.

2.4. Universal source control measures

Source control measures were implemented by making it compulsory for all HCPs, patients and visitors to wear facemask or their own cloth face coverings upon arrival and throughout their stay at hospital facility. Patients and HCPs were educated about importance of hand hygiene before and after contact with face mask or cloth face covering. Visual alerts in form of posters were displayed at entrance, common areas (toilets and waiting area) and in strategic places (hallways, clinic entrance doors) in English and native language about COVID-19 awareness, wearing facemask for source control, social distancing and instructions about hand hygiene [12].

2.5. Seating area

Seating arrangement and markings were made in waiting area for patients. Also, for HCPs group activities and for breaks, rooms and seating areas were designated to ensure social distance of 6 feet at all times. Most of group healthcare activities were modified by implementation of virtual methods.

2.6. Universal use of personal protective equipment (PPE)

PPE used for dental procedures comprised surgical mask, eye protection (goggles), face shield, sterile gloves and sterilised surgical gowns. Hospital administration provided surgical masks for HCPs due to limited availability of KN95.

2.7. Donning and doffing zones

Donning zone and Doffing zone were established in separate designated rooms inside each department. HCPs conformed to following sequence while donning PPE [21]: Hand hygiene adhering to WHO's hand hygiene instructions; Sterilized surgical gowns; Face mask; Eye-protection; Face shield; Hand hygiene again before wearing sterile gloves.

After dental procedures, HCPs removed the gloves, performed hand hygiene. Surgical gowns were disinfected with 70% ethanol sprays [22]. Goggles and face shields were removed and disinfected with 0.1% sodium hypochlorite for 1 min and then washed with lukewarm tap water followed by hand hygiene again [23, 24]. Gowns and masks were not changed for each procedure unless visibly or knowingly soiled by saliva or blood. As CDC recommends extended use of mask and gown as part of contingency and crisis capacity strategies for asymptomatic patients [17]. Gowns were removed in separate designated room for doffing and placed in yellow labelled container. These were sent to central sterile supply department (CSSD) for sterilization.

2.8. Infection control protocol for aerosol generating procedures

2.8.1. Air quality

Air quality was maintained via natural ventilation through 5–7 windows in each department and 1 door which were kept open throughout the day. WHO recommends 12 air changes per hour (ACH) for hospital-based setting [25] ACH in each department was calculated as [26]:

$$ACH = \frac{air speed (ms^{-1}) x opening area (m^2) x 3600s}{Room \ volume (m^3)}$$
(1)

Where,

Air speed was calculated using anemometer was 0.25m/s (at time of calm/no breeze)

Opening area is the area of the vent of all the windows in the room

3600 are the number of seconds in an hour

Room volume calculated by multiplying length, height and width

AGPs were performed in 2 departments each with 10-12 dental units. The departments where

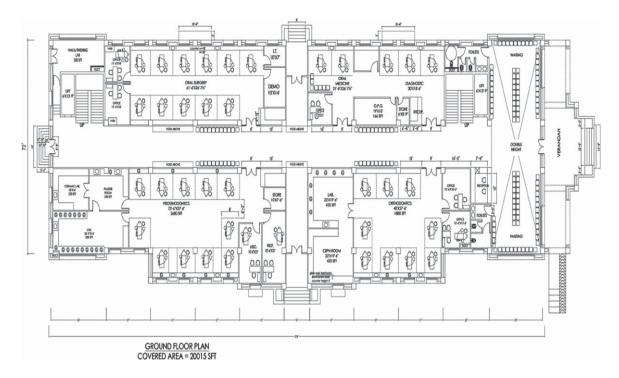


Fig. 1. Architectural design of hospital floor displaying routes of natural ventilation through multiple open windows and doors located in opposing direction in each department, allowing adequate air exchanges per hour (ACH). Thus, fulfilling the criteria of 12 ACH recommended by WHO for hospital setting. Area of each window and door is 8 ft² and 37. 5 ft² respectively.

Prosthodontic and Restorative AGPs were performed had an ACH of 12/h, Oral Surgery and Periodontology had an ACH 25/h. Figure 1 shows architectural design and location of windows and dental units.

Additional measures that were taken included: Use of rubber dam (when applicable); Use of high-volume suction; Air-water (triple) syringe was used with caution.

2.8.2. Hospital disinfection

Sodium hypochlorite 0.1% was used for disinfection of dental units after every procedure for 1 minute. All commonly touched areas as door handles, furniture, switches, sinks, soap dispensers, counters, x-ray unit were also disinfected and later wiped with wet cloth as sodium hypochlorite is toxic to skin after every four hours according to CDC guidelines [24].

2.8.3. Waste disposal

Waste was collected by auxiliary staff member from yellow and white plastic bag-lined baskets designated for contaminated and non-contaminated waste respectively and sharps container. Auxiliary staff member wore mask and gloves during waste collection. Collected waste was sealed in leak resistant biohazard bags and sprayed with 0.5% sodium hypochlorite. The waste was then transported to central waste collecting area of hospital which was taken by waste management company every 12 hours for incineration [27, 28].

3. Results

In total, 6372 patients were treated from 1 August 2020 to 31 March 2021. AGPs were performed in 4751 patients, while 1620 non-AGPs were performed. Figure 2 shows frequency of various AGPs performed. During this period no HCP contracted COVID-19 infection from group A. However, one HCP of group B acquired COVID-19 infection, source of which was uncertain. Student *t*-test between group A and B was insignificant. 1.12% of patients had recent history of contact with COVID-19 patient and were considered as suspected cases of COVID-19. 49.6% had history of domestic travel whereas 0.3% had a history of international travel within last 14 days.

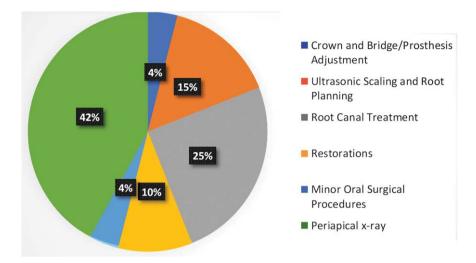


Fig. 2. Frequency of various aerosol generating procedures performed.

4. Discussion

The current study assesses the safety of SOPs and action plan followed by a tertiary care dental hospital post COVID-19 lockdown for AGPs and non-AGPs based on the guidelines of Ministry of Health, Pakistan.

The factors that can vary between hospital settings of different countries are the interest of discussion as each country may follow international and national guidelines for COVID-19 based on available resources.

4.1. PPE variation (type of mask and gown used for AGPs) in asymptomatic patients

There has been controversy in the recommendation of type of mask used by HCPs for dental procedures. WHO, Public Health England and Swissnoso recommend KN95 respirator use only in suspected or confirmed COVID-19 patients during dental procedures [29-31], whereas CDC, the European Center for Disease Prevention and Control, and the German Robert Koch Institute recommend universal use of KN95 respirators for protection against COVID 19 [32-35]. However, a meta-analysis of 4 RCTs including 6418 patients did not provide any evidence that KN95 respirators were superior to medical/surgical masks for protecting healthcare workers against laboratory confirmed viral infection [36]. An anecdotal report describing no COVID-19 transmission in 35 HCW protected by surgical masks who were exposed

to aerosol-generating procedures in the anesthesia department [37].

As in this study protocol, HCPs used surgical mask during dental procedures and only 1 HCP contracted COVID-19 infection. As Student t-test between two groups was insignificant, therefore it can be ascertained that the use of surgical mask is safe in asymptomatic patients for dental procedures. There has been variation in type of gown used in literature like isolation gowns, surgical gowns, and coveralls and each has different advantages and disadvantages [38, 39]. A literature review recommends use of disposable surgical gown [40], also recommended by Ministry of Health, Pakistan [18]. We decided to use sterilized surgical gowns as they effectively protect personnel and patients from cross contamination, are cost effective as they can be easily sterilised. Surgical gowns can be used safely for risk levels 1-4 [39].

4.2. Ventilation method

Ventilation of the treatment room is an important factor to ensure safety of AGPs. Ventilation is the process of providing outdoor air to a space or building by natural or mechanical means [41]. Healthcare facilities in both developed and resource-limited countries with favourable climatic conditions can use natural ventilation [42]. Other methods of ventilation for dental hospital are through-the-wall exhausts [43], in-line exhausts with ducts [44], HVAC systems with HEPA filters and negative pressure dental surgery [43], aerosol collectors [45], and UV light [46]. We used natural means of ventilation with sufficient ACH to achieve 99% clearance in less than 10 minutes.

4.3. Antimicrobial coolants and pre-procedural mouth rinse

Antimicrobial coolants and pre-procedural rinse were not used for AGPs due to limited sources as NHS Education of Scotland did not recommend its use based on literature review [47].

5. Conclusion

Crisis capacity strategy, universal source control measures as well as natural ventilation implemented for dental treatment in asymptomatic patients was assumed to be safe for HCPs during the COVID-19 pandemic, provided patients are screened properly for COVID-19 symptoms, there is no breach in SOPs and room in which procedures are performed is adequately ventilated. Thus, protocols of the Pakistani dental system can be safely adopted worldwide during the COVID-19 pandemic.

Ethical Approval

Ethical approval of the original study was granted by the institutional review boards of the Research and Ethics Committee at the Rashid Latif Medical Complex (reference no. RLDC/005984/20).

Informed consent

Written informed consent was taken from all participants of the study.

Reporting guidelines

The manuscript adhered to the EQUATOR Network reporting guidelines relevant to the research design.

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Conflict of interest

None of the authors declare any conflict of interest.

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