

Influence of shoe upper structure on shoe microclimate and human physiological characteristics during running

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Abstract.

BACKGROUND: Shoes upper has been shown to affect the shoe microclimate (temperature and humidity). However, the existing data on the correlation between the microclimate inside footwear and the body's physical factors is still quite limited.

OBJECTIVE: This study examined whether shoes air permeability would influence foot microclimate and spatial characteristics of lower limb and body.

METHODS: Twelve recreational male habitual runners were instructed to finish an 80 min experimental protocol, wearing two running shoes with different air permeability.

RESULTS: Participants wearing CLOSED upper structure shoe exhibited higher in-shoe temperature and relative humidity. Although there was no significant difference, shank temperature and metabolism in OPEN upper structure shoes were lower.

CONCLUSIONS: This indicates that the air permeability of shoes can modify the microclimate of the feet, potentially affecting the lower limb temperature. This study provides relevant information for the design and evaluation of footwear.

Keywords: Footwear, microclimate, thermal comfort, metabolism

1. Introduction

Footwear is essential in people's daily lives, with the primary requirements being functionality and comfort. Despite the multiple factors influencing foot comfort, the interplay between elevated temperature and humidity within footwear emerges as a critical determinant affecting the sensation of foot comfort [1].

Notably, the feet constitute approximately 7% of the body surface area, with foot sweat loss contributing to 3%–4% of total body sweat loss [2,3,4]. Footwear acts as an intermediary barrier, isolating the foot

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from the external environment. During prolonged periods of walking or running, the confinement of the feet within shoes results in elevated levels of both temperature and humidity inside the footwear [5,6]. This accumulation of heat and moisture within the microclimate between the skin and footwear can result in a diminished capacity for heat dissipation from the skin to the shoe interior as the thermal gradient narrows [7]. Such a reduction in heat loss can precipitate discomfort in the feet. Moreover, the increased levels of temperature and humidity inside footwear not only lead to discomfort but also create conditions favorable for the growth of microorganisms. As a result, this may contribute to the development of odors or bacterial infections, underscoring the significance of these environmental factors in the overall perception of foot comfort and health [8].

Under controlled climatic conditions (23–25°C, 50% RH), the temperature and humidity levels inside footwear typically range from 27 to 37°C and 67–96%, respectively [9,10,11]. Concurrently, research into sweat rates across the foot surface consistently reveals a heterogeneous distribution [12,13], with areas such as the heel and sole particularly prone to elevated temperatures and moisture accumulation [1]. The correlation between objective assessments and subjective perceptions of the shoe microclimate does not always exhibit concordance. For instance, prior studies have indicated that during running, closed shoe uppers elicit higher thermal sensations, perceptions of wetness, and feelings of stickiness compared to open shoe uppers, findings that align with objective data regarding the shoe microclimate [1]. Conversely, sother research has suggested that an individual's perception of foot wetness does not necessarily correlate with actual sweat production on the foot [14]. Furthermore, it remains ambiguous whether discomfort experienced in the foot is primarily attributed to increased temperatures or moisture within the footwear [10,15].

Physical exercise facilitates the conversion of mechanical energy, derived from gait motions, into thermal energy, potentially resulting in elevated temperatures within footwear. Additionally, it has been demonstrated to impose additional strain on the cardiovascular system of the lower extremities, manifesting as a 33% increase in blood flow from a resting state [10,16]. Previous research has indicated that a higher landing velocity during walking or running correlates with an increase in skin temperature and a more substantial metabolic rate [16]. However, research about the relationship between footwear microclimate and lower limb temperature and whole body metabolism is limited. Exploring the relationship between foot heat generation and overall body energy metabolism would be a valuable endeavor.

The aim of this study includes: 1) to investigate shoe microclimate, thermal characteristics of lower limb and body at different periods; 2) to compare whether shoe microclimate, spatial characteristics of lower limb and body are affected by the permeability of the shoe; 3) to ascertain the perceptibility of alterations in shoe microclimate. These findings could provide a theoretical basis for the following footwear research and design.

2. Methods

2.1. Participants

Twelve male recreational runners (previous research showed that there was no significant difference in foot microclimate changes between different genders [19]), with a mean age of 25.1 ± 2.5 years and an average height of 178.0 ± 2.5 cm, who maintained a weekly running at least 20 km, were recruited for a comprehensive study on shoe microclimate and metabolism. Their foot size ($US 9.0 \pm 0.5$) was verified using a Brannock foot device (The Brannock Device Co, Liverpool, NY, USA). Participants were screened for the absence of lower extremity neuromuscular or musculoskeletal issues or pain



Fig. 1. (a) CLOSED upper construction (b) OPEN upper construction.

within the preceding six months. Detailed test procedures were provided in a written information sheet and verbally explained to participants, who provided written informed consent. Ethical approval for the study was obtained from the institutional ethics committee, ensuring compliance with established research protocols and standards.

2.2. Shoe conditions

Two identical shoes in US size 9.0 with only different air permeability (CLOSED upper construction vs OPEN upper construction, Fig. 1a and b) were built for the research (LN tested model, Li Ning company, Beijing, China).

2.3. Experimental protocol

The participants engaged in two experimental trials conducted at consistent times of day to mitigate the potential impacts of circadian rhythm. These trials occurred in a climate-controlled environment set to 23°C and 35% relative humidity [1]. Each participant completed one trial while wearing a shoe with an OPEN upper construction and another while wearing a shoe with a CLOSED upper construction. Preceding the testing phase, participants removed their t-shirts, changed into running shorts, and cleansed their feet with tepid water. Subsequently, after instrumentation, the participants wore standard socks, and in-shoe temperature and relative humidity sensors (DS1922L, Hang Zhou meacon automation, China) were attached to their right feet to monitor in-shoe microclimate variables (Fig. 2(a)). Additionally, skin temperature was assessed at eight locations, including the chest, upper arm, thigh, calf, and lateral shank regions, using iButton wireless temperature loggers (Maxim, San Jose, USA) secured to the skin with surgical tape. Concurrently, metabolism and heart rate data were collected at 5-second intervals throughout the experimental protocol (Fig. 2(b)). Each subject takes a different amount of time, from resting to getting on the treadmill. Energy metabolism data was only collected during the running once the subjects had achieved a stable gait on the treadmill. The comprehensive 80-minute protocol encompassed a 10-minute rest period, during which participants wore the test shoes and remained seated upright, followed by a 40-minute running session at a constant speed of 9 km/h, and concluded with a 30-minute recovery period in an upright seated position. This protocol has been previously applied in studies on footwear microclimate [16]. The treadmill incline was raised by 1 degree to simulate air resistance in road running. Subjective assessments, including thermal sensation and thermal comfort for the entire body, thermal sensation, wetness perception, and thermal comfort specifically for the foot, were gauged

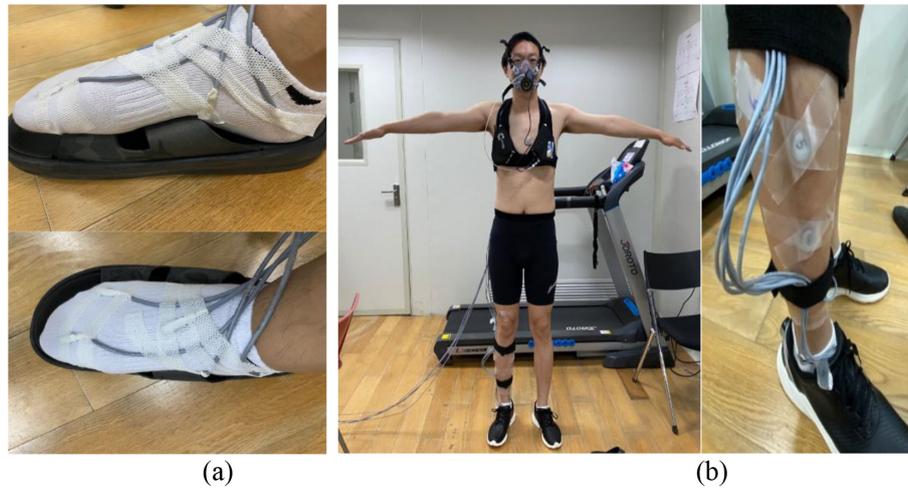


Fig. 2. (a) In-shoe temperature and relative humidity sensors on the participants' right feet; (b) body temperature sensors, heart rate and energy and metabolism measurements.

using scales, with data collection occurring every 5 minutes and focused solely on the left foot (Fig. 3). Furthermore, the participants' semi-nude body mass was measured pre-test and post-recovery using electronic scales accurate to 0.001 kg (Mettler Toledo kcc150, Mettler Toledo, Leicester, UK). The mass of the standard socks (left foot) was also recorded pre-test and post-test using electronic scales accurate to 0.001g (FX-500i, A&D Company Ltd, Oxfordshire, UK). To ensure that the shoe are thoroughly dry before testing, each pair of test shoes is tested only once a day, they are promptly dried after the test is completed.

2.4. Instrumentation and calculations

2.4.1. Gross sweat loss and sock sweat absorption

Gross sweat loss (GSL) was calculated based on the mass change of each participant before and at the end of the test:

$$\text{GSL} = W_{b1} - W_{b2}$$

Where;

W_{b1} = body weight before the test (kg);

W_{b2} = body weight at the end of the test (kg);

Sock sweat absorption (SSA) was calculated based on the mass change before and at the end of the running period:

$$\text{SSA} = M_{s1} - M_{s2}$$

Where;

M_{s1} = sock mass before the test (g);

M_{s2} = sock mass at the end of the test (g);

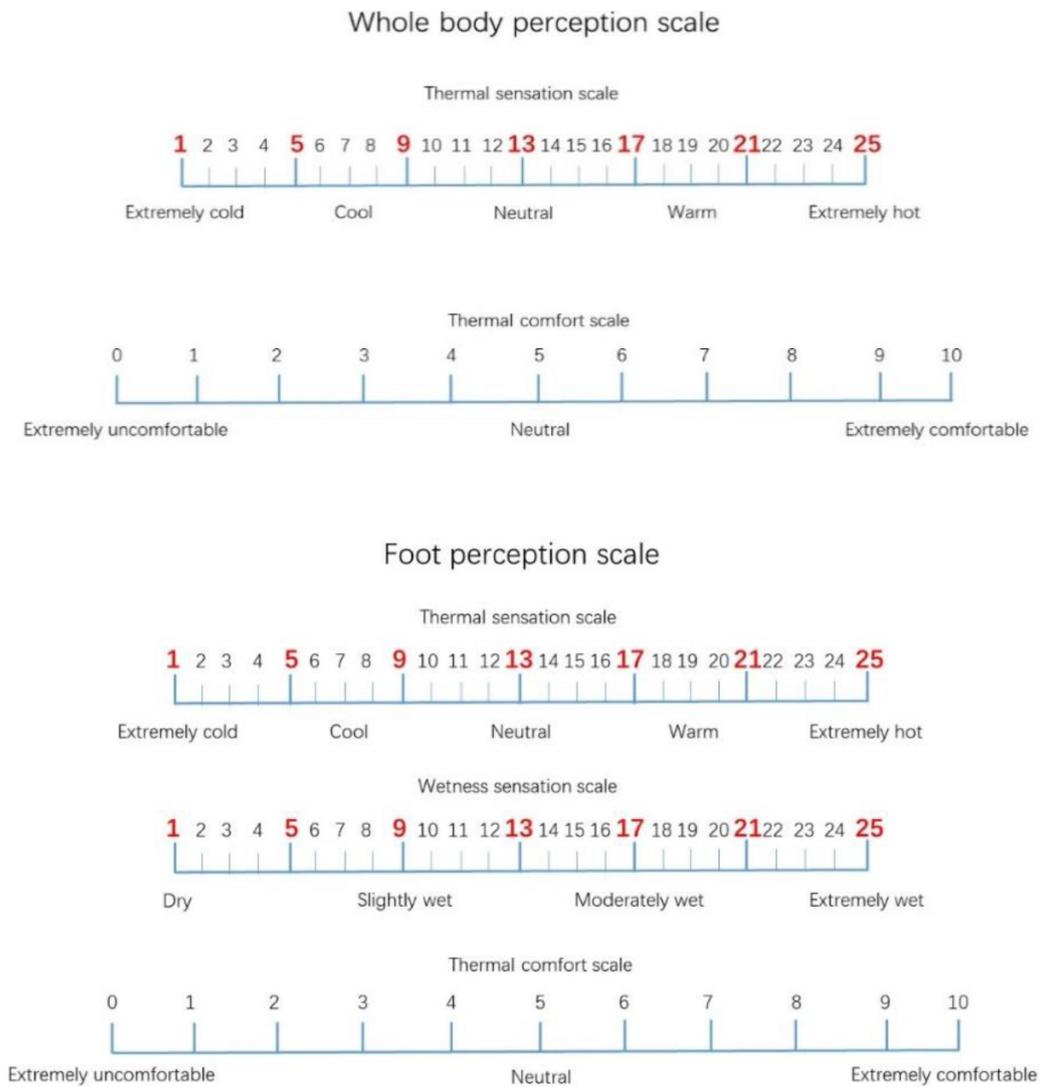


Fig. 3. Whole body perception scale and Foot perception scale.

2.4.2. Mean skin body and shank temperature

The body skin temperature (T_{bsk}) of four sites was calculated using the below equation [17]:

$$T_{bsk} = (0.3 * T_{sk} \text{ Upper arm}) + (0.3 * T_{sk} \text{ Chest}) + (0.2 * T_{sk} \text{ Thigh}) + (0.2 * T_{sk} \text{ Calf})$$

The shank skin temperature (T_{ssk}) of five sites was calculated using the below equation [17]:

$$T_{ssk} = (0.2 * T_{sk} \text{ Calf}) + (0.2 * T_{sk} \text{ lateral shank 1}) + (0.2 * T_{sk} \text{ lateral shank 2}) + (0.2 * T_{sk} \text{ lateral shank 3}) + (0.2 * T_{sk} \text{ lateral shank 4})$$

2.4.3. In-shoe temperature and in-shoe relative humidity

Temperature and relative humidity sensors were meticulously positioned at five specific sites on the participants' right foot to capture variations in in-shoe temperature and relative humidity. These sensors

Table 1
Gross sweat loss and sock sweat absorption by two test shoes

Variable	OPEN upper structure	CLOSED upper structure
Gross sweat loss (GSL) (kg)	0.66 ± 0.17	0.70 ± 0.15
Sock sweat absorption (SSA)(g)	1.06 ± 0.46*	1.39 ± 0.59*
Heart rate (HR) (bmp)	163.87 ± 14.06	164.33 ± 12.54

*Means a significant difference ($p \leq 0.05$). A significant p -value is bolded.

were securely fastened using surgical tape to ensure accurate data collection. Subsequently, data retrieval occurred wirelessly via a custom-designed Bluetooth data transmission system.

2.4.4. Metabolism and heart rate

Metabolic measurements were obtained through breath analysis using a telemetric portable metabolic system (MetaLyzer3B-R3; Cortex, Leipzig, Germany) throughout all testing sessions. Before each test, gas analyzers were calibrated using both ambient air (O₂: 20.93% and CO₂: 0.03%) and a gas mixture of known composition (O₂: 16.00% and CO₂: 5.00%). Subjects wore face masks to facilitate simultaneous breathing through the mouth and nose, enhancing comfort during the procedure. Furthermore, heart rate (HR) was continuously monitored using a wireless Polar monitoring system (Polar Electro Oy, Kempele, Finland) throughout all testing sessions.

2.4.5. Subjective perception

To evaluate thermal sensation, wetness perception, and thermal comfort, ordinal scales were employed. These scales were meticulously designed by guidelines outlined in ISO 10551 [18]. Considerable attention was given to selecting appropriate descriptors, ensuring sensitivity, accuracy, and ease of use for each scale. The implemented scales allowed for the collection of subjective information concerning thermal sensation, thermal comfort for the entire body, thermal sensation, wetness perception, and thermal comfort specifically for the foot.

2.5. Statistical analysis

Initial Shapiro-Wilk tests were used to validate that the data were normally distributed. Paired t-tests were conducted on each of the following variables: GSL, sock sweat absorption, T_{bsk}, T_{ssk}, in-shoe temperature and relative humidity, metabolism, HR, and subjective perception. The alpha level was set at 0.05. All statistical analyses were conducted using SPSS 20.0 (SPSS Inc., Chicago, IL, USA).

3. Results

3.1. Gross sweat loss, sock sweat absorption, and heart rate

There were no significant differences in the gross sweat loss (GSL) and heart rate (HR were averaged in the last 10 minutes) between the two shoe conditions ($p > 0.05$). Participants wearing CLOSED upper structure shoes exhibited larger sock sweat absorption (SSA) ($p = 0.018$) compared to the OPEN upper structure shoe (Table 1).

3.2. Mean skin body and shank temperature

Body and shank temperatures were collected every minute. In this study, only the data from the last minute, representing the corresponding stage, was analysed. There were no significant differences in

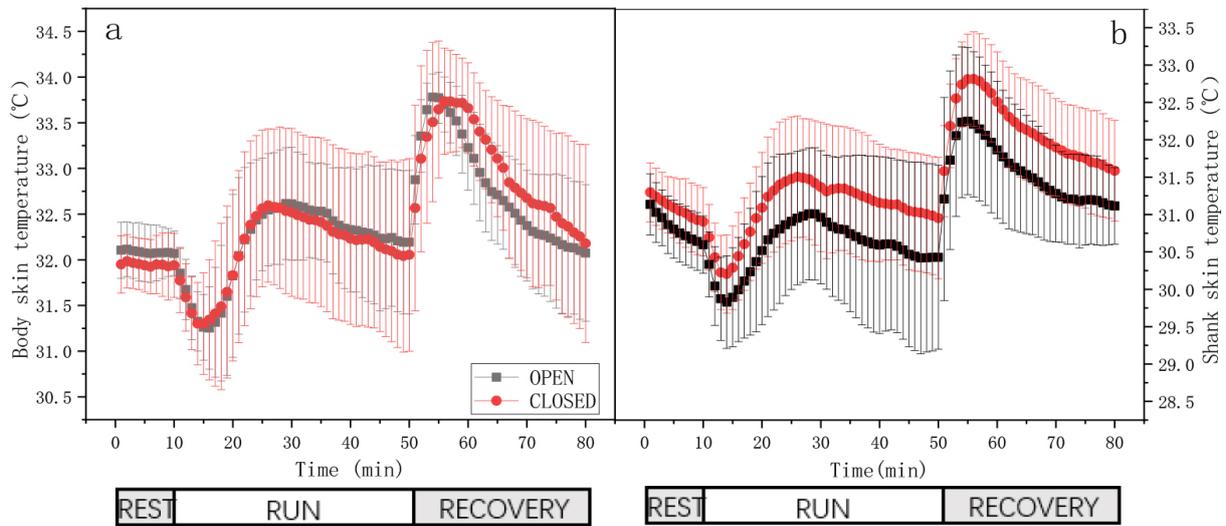


Fig. 4. Skin temperatures of the body and shank with two test shoes during the rest, running, and recovery stages.

the body skin temperature (T_{bsk}) and shank skin temperature (T_{ssk}) between the two shoe conditions throughout the experimental protocol ($p > 0.05$). The dynamics of T_{bsk} and T_{ssk} were similar between the two shoe conditions (Fig. 4a,4b). During the running stage, the subjects' body and shank temperatures initially decreased sharply in the first few minutes, followed by a gradual increase over the next few minutes. The body and shank temperatures of the subjects reached their peak after approximately 15 minutes of running. During the recovery stage, there was a rapid increase in the subjects' body and shank temperatures in the following 5 minutes, followed by a gradual decrease after reaching the peak. While there was no significant difference, the shank temperature in the OPEN upper structure shoe was lower than that in the CLOSED upper structure shoe on the curve graph.

3.3. In-shoe temperature and in-shoe relative humidity

In-shoe temperature and humidity were collected every minute. In this study, only the data from the last minute, representing the corresponding stage, was analyzed. In-shoe temperature revealed significant differences between two shoe conditions at the end of rest (OPEN vs CLOSED: 29.9 ± 1.6 vs 30.8 ± 2.0 , $p = 0.016$), at the end of running (OPEN vs CLOSED: 33.4 ± 1.1 vs 34.6 ± 0.6 , $p = 0.002$), at the end of recovery (OPEN vs CLOSED: 33.2 ± 0.5 vs 33.9 ± 0.4 , $p = 0.027$) (Fig. 5a). The dynamics of in-shoe temperature were similar between the two shoe conditions (Fig. 5a): the in-shoe temperature remained stable during the rest stage, followed by an increasing trend during the running stage, reaching its maximum in the first few minutes of the recovery stage.

A lower in-shoe relative humidity was observed in the OPEN upper structure shoe at the end of the rest period (OPEN vs. CLOSED: 64.5 ± 8.0 vs. 70.0 ± 6.4 , $p = 0.033$) and the end of the recovery period (OPEN vs. CLOSED: 85.9 ± 9.9 vs. 94.0 ± 1.8 , $p = 0.033$) (Fig. 5b). There was no significant difference in-shoe relative humidity at the end of running. The dynamics of in-shoe relative humidity were similar between the two shoe conditions (Fig. 5b) during the rest and running stages: there was a gradual increase in in-shoe relative humidity during the rest stage, followed by a rapid increase that peaked after 20 minutes of running and maintained that level until the end of the running stage. In the recovery stage, the in-shoe relative humidity of the CLOSED upper structure shoe condition remained almost constant, while the humidity of the OPEN upper structure shoe condition gradually decreased.

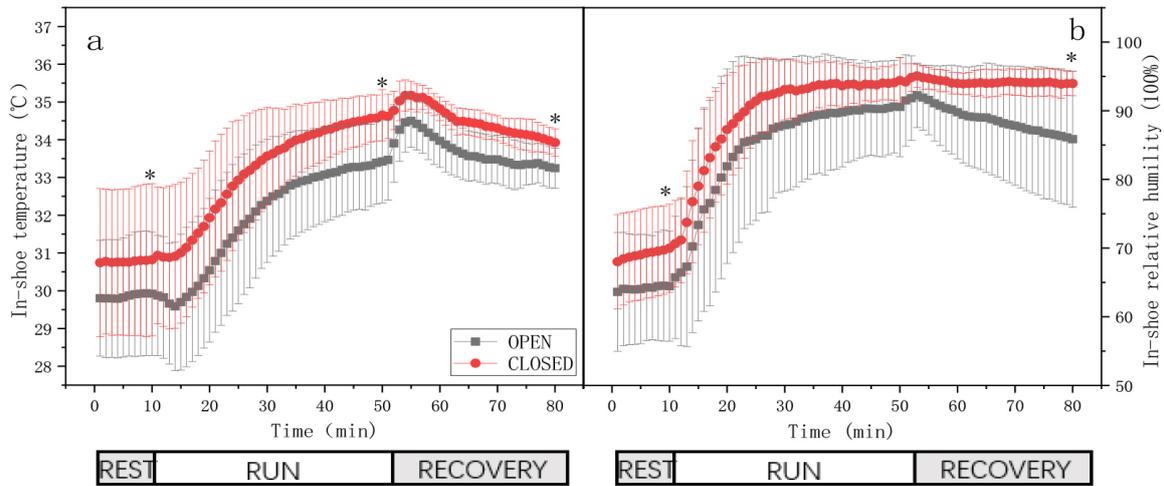


Fig. 5. In-shoe temperature and relative humidity with two test shoes during the rest, running, and recovery stages * means a significant difference ($p \leq 0.05$).

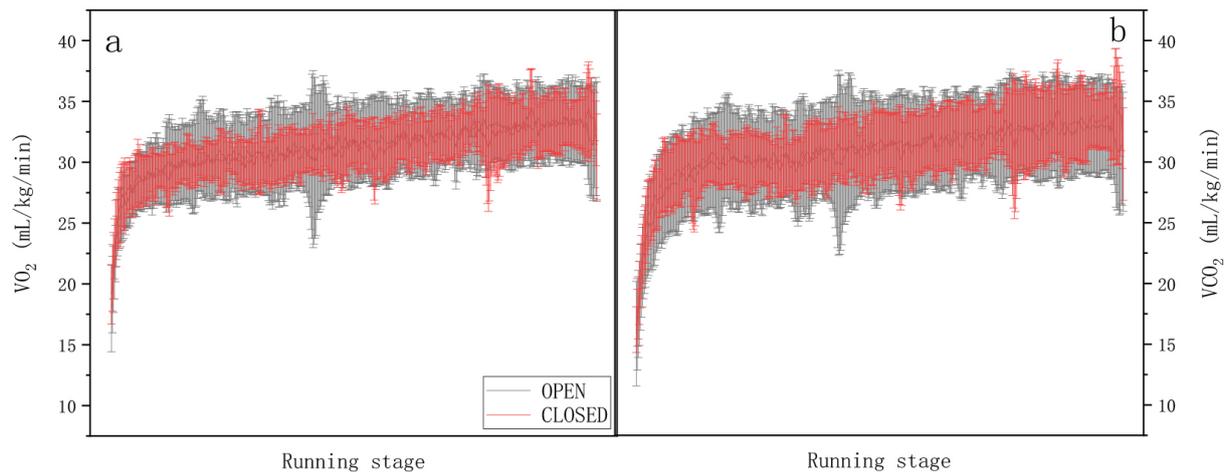


Fig. 6. VO₂ and VCO₂ by two test shoes during the running stage.

3.4. Metabolism

The variation curves of VO₂ and VCO₂ during the running stage are depicted in Fig. 6a and b. The dynamics of VO₂ and VCO₂ were similar between the two shoe conditions: both VO₂ and VCO₂ showed a sharp increase at the start of running, followed by a slower rise over the next 20 minutes, and then remained almost constant during the last 10 minutes.

While there was no significant difference in the variables between the two shoe conditions ($p > 0.05$), the metabolism, VO₂, and VCO₂ values were lower in the OPEN upper structure shoe compared to the CLOSED upper structure shoe (Table 2).

3.5. Subjective perception

In terms of whole-body and foot thermal comfort (Fig. 7a, c), participants reported being neutral and

Table 2
Metabolism, VO₂, and VCO₂ in the last 10 minutes by two test shoes

Variable	OPEN upper structure	CLOSED upper structure
Metabolism (W/m ²)	425.9 ± 37.9	429.1 ± 31.6
VO ₂ (mL/kg/min)	32.9 ± 3.1	33.1 ± 2.2
VCO ₂ (mL/kg/min)	32.6 ± 3.8	33.1 ± 2.8

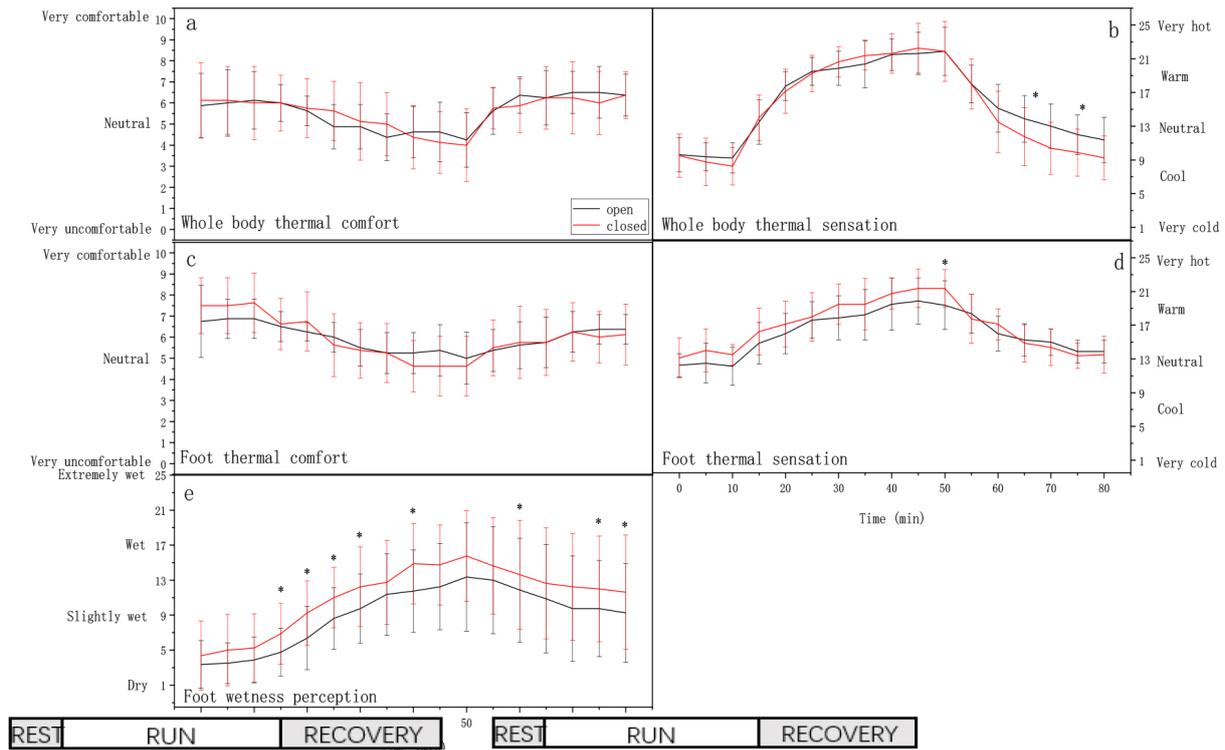


Fig. 7. Whole body and foot subjective perception. * means a significant difference ($p \leq 0.05$).

moderately comfortable, respectively, with minimal changes over time. Participants tended to feel slightly uncomfortable during the running stage regardless of the shoe conditions, but returned to feeling slightly comfortable during the recovery stage. However, there was no significant difference in whole-body and foot thermal comfort between the two shoe conditions during the rest, running, and recovery stages ($p > 0.05$).

During the rest stage, participants reported feeling cool for the whole body and neutral for the foot (Fig. 7b, d), regardless of the shoe condition. Subsequently, both body and foot thermal sensations transitioned from cool/neutral to warm/hot during the running stage. Notably, significant differences in foot thermal sensation were observed after the running phase (OPEN vs CLOSED: 19.4 ± 3.1 vs 21.4 ± 2.4 , $p = 0.021$).

Body and foot thermal sensation decreased from warm/hot to neutral/cool during the recovery stage. Significant differences in whole body thermal sensation were observed at time points 70 min (OPEN vs CLOSED: 10.4 ± 3.3 vs 13.0 ± 2.8 , $p = 0.029$) and the end of recovery stage (OPEN vs CLOSED: 9.3 ± 2.8 vs 11.4 ± 2.9 , $p = 0.024$).

For the foot wetness perception (Fig. 7e), participants were barely wet at the rest stage regardless

of shoe conditions. Foot wetness perception increased and reached a moderate level of wetness in the CLOSED upper shoe condition, while it reached a slightly wet level in the OPEN upper shoe condition during the running stage. There were significant differences at time points 15 min (OPEN vs CLOSED: 4.8 ± 2.9 vs 6.9 ± 3.9 , $p = 0.01$), 20 min (OPEN vs CLOSED: 6.4 ± 3.9 vs 9.3 ± 4.2 , $p < 0.01$), 25 min (OPEN vs CLOSED: 8.6 ± 3.7 vs 11.0 ± 3.9 , $p = 0.04$), 30 min (OPEN vs CLOSED: 9.8 ± 4.2 vs 12.3 ± 5.2 , $p = 0.023$) and 40 min (OPEN vs CLOSED: 11.8 ± 5.0 vs 14.9 ± 5.2 , $p = 0.01$) Foot wetness perception decreased to a slightly wet level by the end of the recovery stage, with significant differences observed at the 75-minute mark (OPEN vs. CLOSED: 12.0 ± 6.9 vs. 9.8 ± 5.9 , $p = 0.02$) and the 80-minute mark (OPEN vs. CLOSED: 11.6 ± 7.4 vs. 9.3 ± 6.0 , $p < 0.01$).

4. Discussion

The study showed that general temporal characteristics of body and shank thermal variables and shoe microclimate were similar between two shoe conditions. Although a discernible influence of shoe permeability on shoe microclimate was evident throughout the experimental protocol, there is no significant difference for body and shank temporal characteristics.

4.1. Influence of shoe permeability on shoe microclimate

The dynamics of shoe microclimate were similar between shoe conditions. Shoe temperature and relative humidity remained relatively stable for the resting stage, with a following increased trend at the running stage. During the recovery stage, the in-shoe temperature reached its maximum in the first few minutes and then gradually decreased. The in-shoe relative humidity of the OPEN upper structure showed a gradual decline, while the CLOSED upper structure shoe condition maintained almost the same level of humidity as during the running stage.

The experimental results indicated that the in-shoe temperature for the CLOSED upper structure was higher at the end of the rest, running, and recovery stages compared to the OPEN upper structure. These findings are consistent with previous studies on running footwear [1,19] as well as research on other types of footwear such as protective and hiking footwear [15,20]. There is a relative movement between foot and shoe during the running stage, thus leading to the air exchange accompanied by convective heat transfer between in-shoe and ambient air through the upper [21,22]. The two key factors of this heat transfer mechanism are upper permeability and relative movement. The research findings are consistent with mechanism insight. Regarding upper permeability, the CLOSED upper restricts convective heat transfer, resulting in higher in-shoe temperatures. Additionally, due to reduced relative movement and decreased airflow from running to the recovery stage, there is a slight increase in in-shoe temperature for both types of upper structures. Indeed, previous research showed that in-shoe temperature and ventilation rates were inversely proportional [16].

In terms of in-shoe relative humidity, the CLOSED upper structure exhibited higher levels at the end of the rest and recovery stages compared to the OPEN upper structure. While there was no significant difference at the end of the running stage, this could be attributed to the insufficient air permeability of the OPEN upper structure, resulting in moisture accumulation within the shoe. The results of sock sweat absorption aligned with in-shoe relative humidity at the end of recovery. The mechanism suggests that sweat accumulation may be related to the heat in the feet [1]. Previous research has indicated that increased upper ventilation can reduce foot and in-shoe temperatures, effectively limiting moisture accumulation. Therefore, the OPEN upper structure exhibited lower moisture accumulation and in-shoe relative humidity [22,23].

4.2. Influence of shoe permeability on subjective perception

Previous research has indicated that in a hot environment, skin moisture levels can lead to thermal discomfort [24]. However, it remains unclear whether foot discomfort is attributable to elevated temperatures or the accumulation of moisture within the footwear [10,15]. Interestingly, in this study, significant differences in in-shoe temperature and in-shoe humidity did not align with the overall subjective thermal comfort experienced by the whole body and feet. This suggests several preliminary conclusions: 1) distinguishing between temperature and moisture in natural wear tests may prove challenging due to the association between in-shoe temperature and humidity, a finding consistent with prior studies [1]; 2) the shoe microclimate may have minimal impact on overall subjective comfort for the entire body.

With regard to subjective thermal sensation, an intriguing finding emerged: the whole-body thermal sensation was higher for the OPEN upper structure during the recovery stage. This leads us to conclude that in-shoe temperature affects subjective whole-body sensation; individuals experiencing higher in-shoe temperatures may report lower whole-body sensation. Additionally, foot thermal sensation aligned with in-shoe temperature at the end of the running stage, which is consistent with our experimental hypothesis.

Regarding foot wetness perception, there was alignment between foot wetness perception and in-shoe humidity during the recovery stage. However, during the rest and running periods, perceptions of wetness and in-shoe humidity were not consistent, indicating a potentially more complex interaction among relevant parameters.

4.3. Influence of shoe permeability on body and shank thermal variables

The dynamics of shank and body temperature were similar between the two shoe conditions during the running and recovery stages. Both shank and body temperatures decreased in the first few minutes of the running stage, consistent with previous research indicating a drop in skin temperature for subjects during bicycle exercise. This suggests that the constriction of blood vessels, rather than sweating, is responsible for the initial drop in temperature [25]. Despite the gradual increase in metabolic variables (VO_2 & VCO_2), shank and body temperature reached a peak in the next 15 minutes and then gradually decreased during the running stage. Previous research has demonstrated that sweating is a contributing factor that can explain these experimental results, as increased sweating during the running stage contributed to the decrease in shank and body temperature [26].

An interesting experimental result revealed that, although not statistically significant, the shank temperature in the OPEN upper structure shoe was lower throughout the entire stage. The CLOSED upper structure inhibited convective heat transfer between the in-shoe environment and the ambient air, leading to heat being transferred from the foot to the shank (the adjacent lower extremity) and resulting in an increase in shank temperature. As for body thermal variables, shoe upper air permeability did not influence body skin temperature, but metabolism and sweat loss differed between the two shoe conditions. Although there was no significant difference, metabolism and sweat loss were lower in the OPEN upper structure. However, we cannot yet conclude that the air permeability of the shoes affects running economy.

4.4. limitation

There are some limitations associated with this study. While the study set the temperature and humidity in a laboratory environment based on previous research, it is indeed challenging to replicate the diverse scenarios encountered during actual running processes. Additionally, we believe that foot temperature and humidity may vary under different environmental conditions, which is highly relevant to footwear design and development.

5. Conclusion

The findings of this research hold significance for both theoretical understanding and practical applications. Theoretically, the temporal characteristics of body, shank, and shoe microclimates remained similar across the two shoe conditions. While a notable impact of shoe permeability on shoe microclimate was evident during the experimental protocol, no significant differences were observed in the temporal characteristics of the body and shank. On the practical side, this information can be valuable for shoe designers, developers, physicians, and athletes in comprehending how the design of shoe uppers influences heat dissipation and moisture management. The findings obtained from this study could potentially provide valuable guidance for improving the breathability of shoes.

Acknowledgments

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Conflict of interest

None to report.

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