# **Research Report**

# Sunitinib Dose Escalation in Metastatic Renal Cell Carcinoma

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#### Abstract.

**Background and objective:** Sunitinib has been a standard treatment for patients with metastatic renal cell carcinoma (mRCC) since 2006. However, almost all patients will eventually progress. Besides well described mechanisms of primary or secondary resistance, insufficient drug exposure may lead to disease progression. The aim of this study was to identify patients in whom sunitinib dose escalation was performed and to analyse safety and efficacy of this strategy in clinical practice.

**Methods:** A single-centre retrospective study on dose escalation in mRCC patients who were treated with sunitinib at the Medical University of Vienna between January 2011 and May 2016. Dose escalation was studied in patients who had either progressed (cohort 1: PD<sup>escal</sup>) or had stable disease with minor progression (cohort 2: SD<sup>escal</sup>). The primary endpoints were response rate before and after dose escalation, global progression free survival and overall survival. Secondary endpoints were treatment duration before and after dose escalation and toxicity.

**Results:** Dose escalation up to 75 mg was offered in 21 out of 265 patients. Response rates before and after dose escalation were 42,8% and 23.8%, respectively. The median global PFS and OS were 15.60 and 32.95 months, respectively. The median treatment duration before and after dose escalation was 6.1 months (1.3–29.3 months) and 6.6 months (2.5–16.6 months). No new toxicities emerged under escalated dose and no grade 4 adverse events occurred.

Conclusion: Sunitinib dose escalation may be a strategy in patients with few toxicities at the time point of progression.

Keywords: Metastatic renal cell carcinoma, sunitinib, dose escalation, resistance

#### LIST OF ABBREVIATIONS

- mRCC Metastatic renal cell carcinoma
- PFS Progression free survival
- ORR Objective response rates
- TD Treatment duration
- OS Overall survival
- MTD Maximum tolerated dose

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# RECIST Response Evaluation Criteria in Solid Tumors

- PDX Patient derived xenograft
- PD<sup>escal</sup> Progression with conventional dose with minor/manageable toxicity
- SD<sup>escal</sup> Stable disease with conventional dose with minor/manageable toxicity
- TD<sup>escal</sup> Treatment duration at escalated dose
- CTCAE Common terminology criteria for adverse events

# BACKGROUND

The tyrosine kinase inhibitor sunitinib has been a standard of care in the 1st-line treatment of mRCC

for more than a decade [1–5]. The recommended dose is 50 mg once daily for 4 weeks followed by a 2 weeks break [4, 6]. However, in clinical practice, only few patients have been able to tolerate more than 50 mg. Dose reduction below 50 mg has been reported in more than one third of patients [7]. This is the result of a well-known side effect profile of the drug, which includes hypertension, hand-foot syndrome, diarrhoea and fatigue. In the pivotal phase III trial [4] 38% and 32% patients in the sunitinib arm required dose interruptions and reductions, respectively.

However, dose reduction as a strategy to improve drug safety is a double-edged sword, since insufficient drug exposure might also lead to limited efficacy: Lankheet et al. have shown that only 50% of mRCC patients under sunitinib standard dosing reach an adequate trough plasma concentration level, i.e. at least 50 ng/ml. Hence, half of the population did not reach the predefined target level for anti-tumoral treatment. Though these measurements were done in small groups of 23–30 patients, they show that the recommended sunitinib dose might not be sufficient for all mRCC patients [8, 9].

Indeed, dose maintenance was shown to have a substantial impact on objective response and progression free survival: a pharmacokinetic and pharmacodynamic meta-analysis of six trials revealed that sunitinib exposure is strongly associated with response rates, time to progression and OS [10]. Insufficient drug exposure in individual patients may feign secondary drug resistance.

Resistance to sunitinib was shown to occur after a median treatment duration of 11 months [5] and is ultimately leading to a change of treatment. Burotto and colleagues [11] raised the question as to whether progression according to the Response Evaluation Criteria in Solid Tumors (RECIST) indicates drug resistance. By estimating the growth and regression rates and the stability of growth using data from the pivotal sunitinib phase III trial, the authors observed a prolonged stability of growth with sunitinib in the majority of patients. According to their findings, the median growth rate was stable for a median of 275 days on therapy and remained stable beyond 300, 600 and 900 days in 122, 65 and 27 patients, respectively. Based on these findings, they further suggested that patients may derive prolonged benefits from the drug if treated beyond RECIST-documented progression. Taking together this data and the impact of dosing on outcome, dose escalation beyond radiological progression might be an option to delay a change of treatment.

Identifying patients in whom treatment beyond progression is reasonable may be challenging. In this context, the predictive value of sunitinib-induced toxicities may help to guide treatment decisions. Patients experiencing toxicities were shown to derive greater benefits from the drug when compared to patients without [12–14]. These findings suggest that the development of side effects reflects adequate individual drug exposure. Consequently, progression in the context of limited toxicities may indicate insufficient drug exposure rather than primary or secondary drug resistance. These findings suggest that dose escalation may be offered in these patients at the time point of disease progression.

The aim of this retrospective analysis was to identify and analyse outcomes of patients treated at the Medical University of Vienna in whom dose escalation was performed at the time point of disease progression or in the context of stable disease with minor progression (non RECIST progression).

#### PATIENTS AND METHODS

This is a single-centred cohort review to investigate safety and efficacy of sunitinib dose escalation. Data has been collected in the central database from patients with mRCC who were treated with sunitinib at the Department for Oncology, Medical University of Vienna. This study was approved by the ethical review committee of the Medical University of Vienna.

All patients with mRCC treated with sunitinib between January 2010 and May 2016 were analysed for dose escalation. Patients were eligible if they had undergone dose escalations to 62.5 or 75 mg during their course of sunitinib treatment. Dose escalations were performed in the context of no/limited toxicity at the time point of staging and disease progression or stable disease. Dose reduction were performed whenever the patient or treating physician perceived a side effect as non-manageable despite prophylactic and supportive measures, not necessarily based on the grade of toxicity. The Memorial Sloan-Kettering Cancer (MSKCC) score was used to determine the patient's risk group. Two patient's cohorts were defined depending on the reason for dose escalation: cohort 1: dose escalation in the setting of RECIST-disease progression

with conventional dose and minor/manageable toxicity (PD<sup>escal</sup>) and cohort 2: stable disease with minor progression (non-RECIST-PD) on conventional dose and minor/manageable toxicity (SD<sup>escal</sup>). Minor/manageable toxicity has been defined as low grade adverse events (grade 1 and 2) and/or grade 3 adverse events which easily were manageable with concomitant medication (eg. Hypertension) and without dose reduction. All data on adverse events were collected retrospectively by reviewing clinical charts. Within these files, all typical TKI-associated toxicities are assessed and graded according to CTCAE version 4.0. There were no formal guidelines regarding assessment of toxicity or response for the study, but toxicity is routinely assessed in CTCAE criteria at our department. Whenever possible, RECIST criteria were used to assess response before dose escalation, however not all patient could be staged according to RECIST criteria due to the real world setting of this study (most of them had their CT or MRI scans outside of the hospital, where RECIST Staging is not performed routinely).

Primary endpoints were:

- 1) Response rates a) prior dose escalation and b) at escalated dose.
- 2) Global PFS (before and after dose escalation).
- Overall survival in patients with dose escalation.

Secondary endpoints were:

- 1. Treatment duration before and after dose escalation (TD<sup>escal</sup>)
- 2. Toxicity differences between conventional and escalated dose (common terminology criteria for adverse events (CTCAE) version 4.0.)

Sunitinib treatment was continued until unacceptable toxicity and/or disease progression without the option for further dose escalation.

# STATISTICAL ANALYSIS

PFS and OS were calculated from the start of sunitinib at conventional dose until progression under sunitinib at escalated dose or death. Survival was calculated using the Kaplan-Meier method. Cohorts 1 and 2 were compared with the log-rank test. Patient characteristics were compared between the different groups by using Qui Square test and Mann Whitney U test, due to small group size. Multivariate analyses

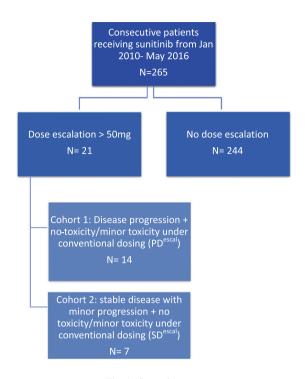


Fig. 1. Screening tree.

were performed with Cox-Regression. A two-sided p-value lower or equal 0.05 represents significance in all tests. The treatment duration on escalated treatment dose for all patients with dose escalations (TD<sup>escal</sup>) was calculated from start of escalated dose (62.5 mg or 75 mg) until end of sunitinib treatment at escalated dose.

# RESULTS

Twenty-one out of 265 patients treated with sunitinib between January 2010 May 2016 were identified and included in this analysis (Fig. 1). The patient's characteristics are displayed in Table 1. The median age at start of sunitinib therapy was 56 years. All but one patient had undergone prior nephrectomy.

14.3% of all patients were on a traditional schedule (4 weeks on- 2 weeks off) while undergoing dose escalation. 57.1% were on a 2 weeks on-1 week off schedule and 28.6% of all patients had other schedules such as 10 days on - 5 days off, 7 days on - 2 days off or 5 days on - 2 days off during the whole course of therapy.

All patients were initially treated with 50 mg sunitinib. Fourteen patients (66.7%) were in cohort

Patients characteristics (N	Ν	%	
Median Age	56		
Gender	female	18	85.7%
	male	3	14.3%
Clear cell histology	yes	17	81.0%
	no	4	19.0%
MSKCC risk	favourable	5	23.8%
	intermediate	16	76.2%
	poor	0	.0%
Nephrectomy	yes	20	95.2%
	no	1	4.8%
Treatment line in	first	15	71.4%
which Sunitinib was prescribed			
	second	1	4.8%
	third	2	9.5%
	fourth	2	9.5%
	sixth	1	4.8%
Additional local treatment	yes	9	42.9%
(e.g. metastasectomy, stereotactic radiosurgery,	no	12	57.1%
radiofrequency ablation) during the entire course of disease			
Metastatic sites, n	1	4	19.0%
,	2	6	28.6%
	3 or more	11	52.4%

Table 1 Patients characteristics

1 (PD<sup>escal</sup>) and 7 (33.3%) in cohort 2 (SD<sup>escal</sup>). Nineteen patients were primary escalated up to 62.5 mg, of which 9 were further escalated to 75 mg either because of low toxicity or insufficient response. Two patients were escalated directly from up 50 mg up to 75 mg because of total lack of toxicity and good performance status. Eleven patients were not escalated beyond 62.5 mg due to adverse events.

#### RESPONSE

Prior dose escalation, objective responses or stable disease were observed in 42.8 % (n=9) and 47.6 % (n=10) of patients, respectively, while 2 patients (9.5%) had progressive disease as best response.

After dose escalation, 5 out of 21 patients achieved an objective response (23,8%) of which one patient had a complete remission; another 10 patients (47.6%) had SD. Among the different cohorts, 4 patients in the PD<sup>escal</sup> and one patient in the SD<sup>escal</sup> achieved an objective response; the clinical benefit (CR, PR, SD) rate after dose escalation was 71.4% and similar for both cohorts.

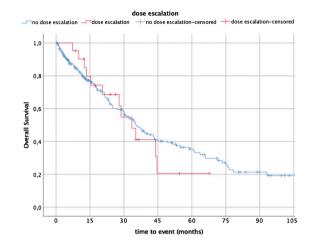


Fig. 2. Median overall survival (OS) dose escalation (21 patients) vs non-dose escalation (227 patients).

# GLOBAL PROGRESSION FREE SURVIVAL

The median global progression free survival (PFS) for all 21 patients was 15.6 months (95% CI: 9.5–21.8) (Fig. 2). The median global PFS for PD<sup>escal</sup> and SD<sup>escal</sup> was 15.6 months (95%CI: 8.0–23.2) and 16.4 months (95% Confidence Interval: 8.8–24.0), respectively. Three patients were too early for PFS analysis (1 patient had a CR and 2 patients were still on treatment at the time of cut off).

#### SURVIVAL

The median overall survival (OS) for the entire dose escalated population was 32.95 months (95% Confidence Interval (CI): 24.4–41.5).

The median OS for the PD<sup>escal</sup> and SD<sup>escal</sup> cohorts was 43.3 months (95% CI: 23.0–63.6) and 27.4 months (95% Confidence Interval: 0.0–58.4), respectively.

The median OS for the no-dose escalation cohort was 35.3 months (95% Confidence Interval (CI): 29.3-41.4) (N = 227).

# TREATMENT DURATION WITH CONVENTIONAL AND ESCALATED DOSE

The median treatment duration with conventional dose and escalated dose was 6.1 months (range: 1.3–29.3 months) and 6.6 months (range: 2.5–16.6 months) for all patients, respectively. Two patients

were still on dose escalation at the time of analysis. The median treatment duration for cohorts PD<sup>escal</sup> and SD<sup>escal</sup> was 6.6 months and 6.1 months, respectively.

## TOXICITY

Incidence and grade of toxicities at conventional and at escalated dose are displayed in Table 2. The most common adverse events for both sunitinib conventional dose and escalated dose were hypertension (81%, grade 3:33.3%), fatigue (71%, grade 3:42.9%), stomatitis (57.1%, grade 3:4.8%), diarrhoea (52.4%, grade 3:19.0%), hypothyroidism (42.9%) and thrombocytopenia (42.9%). After dose-escalation, the most frequent grade 1-2 toxicities followed a similar pattern. However, laboratory abnormalities such as hyperlipidemia, renal dysfunction and anemia were more frequent. No grade 4 adverse effects were observed.

# DISCUSSION

The aim of this analysis was to investigate the safety and efficacy of sunitinib at a daily dose beyond 50 mg per day in patients who had experienced either disease progression or stable disease with minor progression and who did have few or no toxicities at the time point of assessment. Sunitinib dose escalation resulted in a clinical benefit (CR, PD, SD) in the majority of patients (71.4%). The median global PFS was 15.6 months (95% CI 8.0–23.2). The median treatment duration at conventional dose was 6.1 months (1.3–29.3) and dose escalation added another 6.6 months (2.5–16.6) months, during which no disease progression was documented.

Dose escalation as a strategy to overcome sunitinib drug resistance was initially studied in two mice xenograft models. The first model RP-R-01 patientderived ccRCC xenograft (PDX) had a negative VHL gene, including gene aberrations in PBRM1, SETD2 and KDM6A. The second model RP-R-02 PDX was developed from a skin metastasis in a patient with hereditary VHL syndrome. Both lines were treated with the same therapy schedule 40-60-80 mg/kg sunitinib, 5 days a week. An incremental increase of sunitinib at the time point of disease progression restored the sensitivity of the tumor toward sunitinib in both PDX models. Similar findings have been reported from 16 patients in whom dose escalations up to 75 mg were performed upon disease progression. A clinical benefit was observed in the majority of the patients [15].

Dose escalation as a strategy to optimize outcomes has been established early with the TKI axitinib. Escalation of axitinib upon occurrence of resistance was shown to enable another 10.1 months (range: 0.6-37.9 months) on treatment [16]. Drug exposure and individualized treatment schedules were also studied with other TKI's such as Pazopanib [17]. According to a retrospective analysis, a minimum dose threshold of  $\geq 20.5$  mg/L was significantly associated with prolonged PFS and tumor shrinkage [18]. In a prospective trial on a pharmacokinetically guided individualized dosing algorithm, 17 out of 30 patients needed a dose adjustment in terms of dose escalation to achieve the above-mentioned threshold of  $\geq 20.5$  ml/L [19].

Interestingly, these strategies have been less commonly considered with sunitinib even though evidence exists that sunitinib can be escalated up to 75 mg [6]. Furthermore, according to the prescribing information for sunitinib, individual dose modifications can be increased up to 87.5 mg if used in combination with a strong CYP 3A4 inducers [20]. Our findings are consistent with previously published reports on sunitinib dose escalation, using sunitinib, either at 62.5 mg or 75 mg [21–23]. Adelaive et al. demonstrated that sunitinib dose escalation can overcome drug resistance in both mouse RCC xenografts and selected patients with appropriate toxicity profile [15]. Raphael et al. reported on 25 mRCC patients who were offered sunitinib dose escalation upon occurrence of resistance. In total, these patients had an added median PFS of 6.7 months after initial progression under standard dosing [24]. Bjarnason et al investigated in a prospective phase II trial the efficacy and safety of sunitinib given in an individualized schedule. Twenty out of 117 untreated mRCC patients were escalated above 50 mg (12 patients up to 62.5 mg and 6 patients up to 75 mg) in case of low toxicity (≤grade 1 toxicity). The whole patient population reached a PFS of 12.5 months and an OS of 38.5 months. This data supports the idea of individualized scheduling to reach the maximum benefit in sunitinib therapy [25].

Dose escalation is a strategy that requires careful patient monitoring and pro-active side effect management. Concerns regarding potential toxicities may prevent physicians from considering dose escalation as a valid therapeutic strategy. However, it was shown that the incidence and severity of adverse events can be considerably reduced by individualizing the

Variable	Conventional dose $(N = 21)$				Escalated dose $62.5 \text{ mg} (N = 19)$				Escalated dose $75 \text{ mg} (N = 10)$			
	Grade 1–3 <i>n</i> %			Grade 3 n %	Grade 1–3 n %		Grade 3 n %		Grade 1–3 <i>n</i> %			Grade 3 n %
Fatigue	15	71.4%	9	42.9%	14	73.7%	10	52.6%	7	70.0%	5	50.0%
Hypertension	17	81.0%	7	33.3%	14	73.7%	3	15.8%	8	80.0%	2	20.0%
Diarrhoea	11	52.4%	4	19.0%	13	68.4%	1	5.3%	6	60.0%	2	20.0%
H/F syndrome	7	33.3%	3	14.3%	7	36.8%	1	5.3%	3	30.0%	1	10.0%
Stomatitis	12	57.1%	1	4.8%	8	42.1%	0	0.0%	3	30.0%	1	10.0%
Nausea	7	33.3%	1	4.8%	8	42.1%	0	0.0%	6	60.0%	0	0.0%
Hypothyreosis	9	42.9%	0	0.0%	12	63.2%	0	0.0%	3	30.0%	0	0.0%
Thrombocytopenia	9	42.9%	0	0.0%	9	47.4%	0	0.0%	3	30.0%	0	0.0%
Leukopenia	8	38.1%	0	0.0%	6	31.6%	0	0.0%	4	40.0%	0	0.0%
Hypertriglyceridemia	7	33.3%	0	0.0%	12	63.2%	0	0.0%	8	80.0%	0	0.0%
Anaemia	4	19.0%	0	0.0%	8	42.1%	0	0.0%	2	20.0%	0	0.0%
Renal dysfunction	5	23.8%	0	0.0%	10	52.6%	0	0.0%	6	60.0%	0	0.0%
Liver	3	14.3%	0	0.0%	5	26.3%	0	0.0%	2	20.0%	0	0.0%
Anorexia	5	23.8%	1	4.8%	3	15.8%	0	0.0%	3	30.0%	0	0.0%
Rash	2	9.5%	0	0.0%	1	5.3%	0	0.0%	0	0.0%	0	0.0%
LVEF reduction	1	4.8%	0	0.0%	0	0.0%	0	0.0%	1	10.0%	0	0.0%

Table 2Incidence and severity of toxicities

Toxicity profile as per CTCAE 4.0, standard dose and escalated dose (62.5 mg and 75 mg).

treatment schedule [26–28]. Bracarda et al. reported that the incidence of severe adverse events (grade  $\geq$ 3) was significantly reduced by switching to the 2/1 schedule, compared with the incidence reported during the initial 4/2 schedule (maximum toxicity grade >3:45.7% during the traditional 4/2 schedule versus 8.2% during alternative 2/1 schedule) [29]. In addition, Bjarnason et al. reported that patients treated with individualized dose/schedule had a longer PFS when compared to patients on the traditional 4/2schedule (PFS 10.9 months and 5.3 months, respectively) [30]. This data suggests that an alternative schedule of sunitinib is more likely to enable dose escalation. In our study, only 3 out of 21 patients were treated with the traditional schedule, while 18 were on an alternative schedule (either 2 weeks on-1 week off or another individualized schedule, e.g. 7 days on-4 days off).

Distinguishing between the type of side effect appears important in the context of dose escalation: some side effects may be easily controlled with co-medication, such as hypertension. Others might be dose limiting, because no appropriate supportive measures exist, such as stomatitis and fatigue. In our analysis, hypertension was the most common adverse event upon dose escalation (73.7% and 80% of patients with 62.5 mg and 75 mg, respectively), followed by fatigue, diarrhoea and hyperlipidemia, occurring in 73.7, 68.4 and 63.2% of patients on 62.5 mg and in 70, 60 and 80% of patients on 75 mg treatment dose, respectively. No grade 4 adverse events occurred. Only one patient had to discontinue sunitinib on escalated dose due to grade 3 fatigue, asthenia and hypertension. Another patient required dose reduction from 75 mg to 62.5 mg, which he was then able to maintain for 9 months.

Considering that patients with dose escalation were mostly intermediate risk, the median OS of 32.95 months is encouraging and longer than reported previously for this patient population. Furthermore, it reached the median OS of the entire no-dose escalation cohort (N = 227) (35.3 months, 95% Confidence Interval (CI): 29.3–41.4) (Fig. 2).

The limitations of this study are first, that it is a single centre retrospective analysis with a low number of patients. Second, the patient population is heterogeneous in terms of treatment line. Third, almost half of our patients had undergone additional local treatments at some time point during the course of the disease, which may have contributed to prolonged OS and PFS.

It can be questioned as to whether sunitinib dose escalation remains important as a strategy today, given the considerable amount of agents that have been developed for second- and later lines. Novel second-line agents were shown to specifically address mechanisms of anti-VEGFRresistance. Cabozantinib, a MET-AXL and VEGF inhibitor and the FGF-VEGF-inhibitor lenvatinib (in combination with everolimus) have been approved for 2nd-line based on statistically significant OS, PFS and ORR benefits, respectively [31, 32]. Another new standard of care in second-line is the programmed cell death-1 immune check point inhibitor (ICI, PD-1 inhibitor) nivolumab, which was shown to provide a statistically significant benefit in OS and ORR [33]. Furthermore, in 2019, immune check point inhibitor combinations have been recommended as a new standard of care in the majority of patients (intermediate and poor risk). Another paradigm change is expected with the introduction of TKI-check point inhibitor combinations [34].

Sunitinib dose escalation might remain either an option for favourable risk patients only or in regions where novel strategies are not approved yet. In this context, optimizing outcomes with available drugs may be of paramount importance. Thus, strategies such as treatment beyond progression and dose escalation may remain valid measures. Our data add further strength to the existing evidence on sunitinib dose escalation upon disease progression or insufficient response.

Prospective trials with larger patient populations are needed to verify the hypothesis of sunitinib dose escalation, however, due to competing interest with many novel strategies, it is unlikely that current research efforts will address this topic.

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# **CONFLICT OF INTERESTS**

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