RETRACTED: Impact of air transportation on the spread of Covid-19 between countries based on system dynamics

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1. Introduction

The COVID-19 epidemic has had a substantial impact on the whole social economy and people's lives [1]. The spread of the virus mainly includes direct transmission and indirect transmission. Among them, direct transmission is mainly through nasal and oral secretions, including coughing, sneezing, and speaking droplets [2–4]. Indirect transmission channels mainly refer to people touching contaminated objects with their hands and then infection [2, 3], and includes aerosols formed by the suspension of closed spaces caused by small droplets [5]. Covid-19 is highly infectious because asymptomatic and pre-symptomatic individuals can transmit the virus [2–4]. According to relevant studies, asymptomatic infection accounted for 45%-50% [6]. Due to the COVID-19 pandemic, avoiding unnecessary travel was restricted or recommended in most countries or regions [7]. Some places quarantine people who have recently traveled to the epidemic area and restrict people from going to the epidemic area [8]. Other countries restrict all foreign travel and prohibit their citizens from international travel [9]. Air transport plays a critical role in today's global economic society and has a significant impact on social development. Compared with other ways, air transportation is an efficient and high-speed way to travel for people and goods in a long journey, especially in international transportation [10].

Take the China-U.S. route as an example. During the COVID-19 pandemic, U.S. carriers suspended flights between China and US because of reduced demand and government restrictions on international travel in early 2020 [11], at the same China's air carriers still maintained limited air transport links between the two countries [12]. At the end of March 2020, China-US flights were reduced to only 4 from 325 per week.With the prevalence of epidemics in the United States and government travel restrictions between China and the United States, the demand for travel from the United States to China has relatively increased. The relative shortage of flights has caused

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a shortage of air tickets, soaring air tickets, and a large number of people stranded [13, 14].

All these phenomena cause us to think about whether the reduction of international air transportation services played a key role in controlling the COVID-19 spread. A study in Science found that simple restriction management measure for travelling on reducing transmission is limited, and only delays the outbreak to a certain extent. Only with the combination of prevention and control measures, can effectively reduce the spread [15]. Harvard University's research shows that travel restrictions are most useful in the early and late phase of an epidemic [16]. Sun and Wandelt summarized the literature on the combination of COVID-19 and air transport [17]. The existing relevant literature mainly relates to: (1) the mutual influence of COVID-19 and the air transportation network system; (2) the impact of COVID-19 on passenger flight experience; (3) the long-term impact of COVID-19 on aviation. In particular, some scholars have studied the impact of air transportation networks on the spread of COVID-19 [18-24]. However, there is very little research on the key factors of transmission between countries through air transport and how to interact.

Based on the above, the paper starts by analyzing the factors that affect the spread of COVID-19 between countries, establishing a system dynamics model, and finding the key factors in order to provide intellectual support for decision-making. The paper is organized as follow. Section 2 the system composition on spreading COVID-19 spread through air transportation and the necessary assumptions. Afterwards, section 3 establishes a system dynamics model on the spread of COVID-19, composed of two countries through air transportation, calibrates parameters, and tests the validity of the model. Then, Section 4 selects three key indicators as independent variables to analyze the system changes caused by this. Finally, the conclusion are presented in Section 5.

2. Problem description and hypothesis

The classic SEIR model, which can used to analyze the COVID-19 epidemic, consists of four parts: S(susceptible), E(exposed),I(infectious) and R (recovered) [17, 18].With reference to the above literature, we divide the experience of people infected during the COVID-19 pandemic from the beginning of infection into four stages: Susceptible Individuals, unconfirmed individuals, confirmed individuals and death or recovery.

Susceptible individuals refer to individuals who have not been infected with the coronavirus, that is, have no antibodies. Unconfirmed individuals refer to individuals who have been infected but have not yet been confirmed, including asymptomatic infections and pre-symptomatic infections. Among unconfirmed individuals, asymptomatic individuals will recover because they are mildly ill, while presymptomatic infections will undergo a confirmed process. Assuming that all pre-symptomatic infections will develop into symptomatic infections, and all of them can be diagnosed, thus becoming confirmed individuals. After being confirmed, a small proportion will progress to death over a period of time, and most will recover. Recovery individuals mean that the virus disappears in their bodies and are no longer infectious.

In order to further analyze the problem, the following assumptions are formed.

- 1. Once all infected persons are diagnosed, they will strictly abide by the quarantine policy, whether at home or in centralized quarantine, and will not infect other sensitive individuals again, nor will they transfer between different countries and regions.
- 2. For the transportation links between countries, only air transport is considered. Assume that the total amount of air passenger traffic between the two countries in a single day is the same as before during the Covid-19 epidemic. Those who transfer between countries include: susceptible individuals, unconfirmed individuals, recovery individual. The proportion of these three groups of people transferred to other countries by air transport is same.
- Once all susceptible individuals are infected into unconfirmed individuals, both asymptomatic and pre-symptomatic infections are contagious and will affect the unprotected individuals.
- Since all asymptomatic individuals do not show obvious symptoms, it is assumed that they cannot be diagnosed or confirmed.
- 5. The probability of COVID-19 infection by airplane is rarely mentioned in the existing literature. Only one case mentioned in the literature is most likely to be infected by air transport [19]. Therefore, it is assumed that air transportation is not a channel for infection with COVID-19, pro-

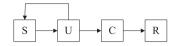


Fig. 1. Transformation relationship of COVID-19.

vided that protective measures are taken. That is, the aircraft is only used as a tool to transfer passengers.

- 6. Immigration control and inspection for COVID-19 virus are not considered.
- 7. During the entire analysis and research cycle, the birth and death factors of the population are not considered.

Based on the above descriptions and assumptions, the transformation relationship of COVID-19 in a certain country is shown in Fig. 1, where S is short for susceptible individuals, U for unconfirmed individuals, C for confirmed individuals, and R for recovery individuals.

Let S(i), U(i), C(i), R(i) be the numbers of susceptible individuals, unconfirmed individuals, confirmed individuals, and recovery individuals in country *i*, respectively. Based on the above analysis, the problem is limited to the spread of COVID-19 in two countries under the air transportation connection, and then a dynamic equation for the country I is constructed as shown below.

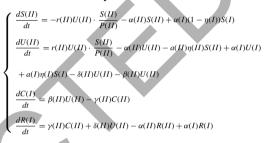
$$\begin{cases} \frac{dS(I)}{dt} = -r(I)U(I) \cdot \frac{S(I)}{P(I)} - \alpha(I)S(I) + \alpha(II)(1 - \eta(II))S(II) \\ \frac{dU(I)}{dt} = r(I)U(I) \cdot \frac{S(I)}{P(I)} - \alpha(I)U(I) - \alpha(I)\eta(I)S(I) + \alpha(II)U(II) \\ + \alpha(II)\eta(II)S(II) - \delta(I)U(I) - \beta(I)U(I) \\ \frac{dC(I)}{dt} = \beta(I)U(I) - \gamma(I)C(I) \\ \frac{dR(I)}{dt} = \gamma(I)C(I) + \delta(I)U(I) - \alpha(I)R(I) + \alpha(II)R(II) \end{cases}$$

Among them, P(I) represents the total population of country I, and r(I), $\beta(I)$, $\gamma(I)$, $\delta(I)$ and r(I) represent respectively the basic infection rate, confirmed rate, the ratio from confirmed to recovery and the ratio from asymptomatic to recovery.

In Formula (2.1), $\frac{dS(I)}{dt}$ expresses the dynamic equation of susceptible individuals in country I, the number of which decreases as the number of recovered individuals increases, and changes with the transfer of susceptible individuals between countries. $\frac{dU(I)}{dt}$ expresses the dynamic equation of unconfirmed individuals in country I, the number of which increase as the number of recovered individuals increase, and

also changes with the transfer of unconfirmed individuals between countries and infection individuals on the aircraft. $\frac{dU(I)}{dt}$ expresses the dynamic equation of confirmed individuals in country I, which is directly related to the diagnosis of unconfirmed individuals and the recovery of infected individuals. $\frac{dR(I)}{dt}$ expresses the dynamic equation of recovery individuals in country I, which is related to recovery of confirmed and unconfirmed individuals, and the recovery individuals between countries.

Similarly, the dynamic equation for the spread of COVID-19 in Country II is also constructed as follow.



In Formula (2.2), P(II), r(II), $\beta(II)$, $\gamma(II)$, and $\delta(II)$ represent the corresponding indicators of country II, and all formula expressions have meanings similar to those of formula (2.1).

Meanwhile, $\alpha(I)$ and $\eta(I)$ respectively represent the ratio of the population transferred from country I to II by air transport and the probability of infection on the aircraft during the transfer from country I to II, while $\alpha(II)$ and $\eta(II)$ represent the corresponding index transferred from country II to I.

3. System dynamics model on Covid-19 transmission among countries

3.1. System dynamics model

According to the above analysis, the system dynamics model of covid-19 spreading in two countries through air transportation is constructed, as shown in Fig. 2 below. The system dynamics model reflects the transfer relationship between domestic susceptible individuals, unconfirmed individuals, confirmed individuals, and recovery individuals in the two countries, country I and country II, as well as the transfer relationship between these populations in the two countries.

Among the model, there are 8 state variables, 4 for country I and 4 for country II, which are *Susceptible individuals(I)*, *Unconfirmed individuals(I)*, *Confirmed individuals(I)*, *Recovery individuals(I)*

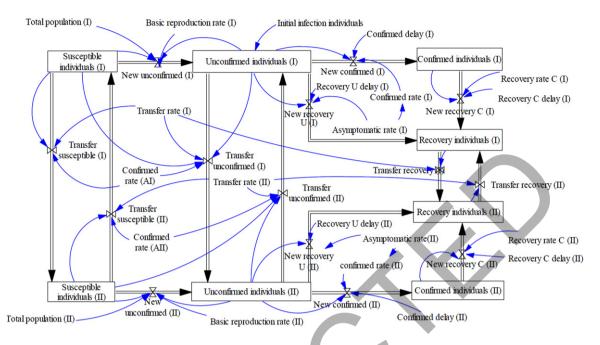


Fig. 2. The system dynamics model of COVID-19 spreading in two countries through air transportation

and Susceptible individuals(II), Unconfirmed individuals(II), Confirmed individuals(II), Recovery individuals(II) respectively. There are also 14 rate variables, 4 for country I, 4 for country II, and 6 for transferring, and their meanings are shown in Table 1 below.

3.2. Mathematical model of main variables

Take China and the United States as an example to set the main parameters of the model, where country I represents United States and country II represents China. Considering that the epidemic in China has been basically under control since mid-March in 2020, the main epidemic prevention work has been converted to preventing overseas imports. At this time, the US epidemic began to initially break out to the pandemic stage. Therefore, the model mainly reflects the situation where the United States is the exporter of the COVID-19 and China is the importer.

The constant parameters are shown in Table 2 below.

Other parameters are shown in Table 3 below.

3.3. Model testing

System dynamics model testing includes dimensional consistency testing, mechanical error testing, model validity testing, and equation extreme condition testing [23]. The established system dynamics model has passed the dimensional consistency test and mechanical error test of through *Vensim* software.

For the model validity testing, all parameters are set according to the above. The validity testing results are shown in Fig. 3. It is concluded that with the change of the susceptible individuals population in Country I,epidemic center (the United States), the susceptible population has a downward trend, and the recovery population is an increasing trend. For the Country II, China, as the importing country of COVID-19, the susceptible population is reduced and the recovered population is increased, while the number of these two indicators is much smaller than the Country I, US. These trends are consistent with reality and prove that the model has passed the validity testing.

For the extreme condition testing, set the *initial unconfirmed individuals* (I) and *unconfirmed individuals* (II) to 0, *transfer rate* (I) and *transfer rate* (II) to 0, and use *Vensim* to simulate. The results of *susceptible individuals* (I) and *susceptible individuals* (II) are constants, and the values equal to the total population of the country (or region), while *confirmed individuals*(I), *confirmed individuals*(II), *recovery individuals*(I) and *recovery individuals*(II) have a fixed value of 0. This is consistent with the actual understanding. In the absence of initial infections and imported infections, the confirmed cases and recovered cases are 0. According to this, the model passes the extreme condition test.

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Table 1 The meanings of rate viriables of dynamics model

Rate variables	Meanings
New unconfirmed(I)	New unconfirmed individuals from susceptible individuals in country I
New confirmed(I)	New confirmed individuals from unconfirmed individuals in country I
New recovery U(I)	New recovery individuals from unconfirmed individuals in country I
New recovery C(I)	New recovery individuals from confirmed individuals in country I
New unconfirmed(II)	New unconfirmed individuals from susceptible individuals in country II
New confirmed(II)	New confirmed individuals from unconfirmed individuals in country II
New recovery U(II)	New recovery individuals from unconfirmed individuals in country II
New recovery C(II)	New recovery individuals from confirmed individuals in country II
Transfer susceptible(I)	Transfer susceptible individuals through air transportation from country I
Transfer unconfirmed(I)	Transfer unconfirmed individuals through air transportation from country I
Transfer recovery(I)	Transfer recovery individuals through air transportation from country I
Transfer susceptible(II)	Transfer susceptible individuals through air transportation from country II
Transfer unconfirmed(II)	Transfer unconfirmed individuals through air transportation from country II
Transfer recovery(II)	Transfer recovery individuals through air transportation from country II

	Transfer fectivery(ff)	Transie	i lecovery murviduar	s unough an transportation from country in			
	Table 2 Constant parameters and expressions						
No.	Variable name	Unit	Value	Data source			
1	Total population (I)	Dmnl	330million	United Census Bureau. "U.S. and World Population Clock".			
2	Total population (II)	Dmnl	1400million	China National Bureau of Statistics. "Statistical bulletin of national economic and social development of the people's Republic of China in 2019"			
3 4	Basic reproduction rate (I) Basic reproduction rate (II)	Dmnl/day Dmnl/day	0.25	Sanche S, Lin YT, et al. (2020) [20]			
56	Transfer rate (I) Transfer rate (II)	Dmnl/day Dmnl/day	0.00000403 0.0000095	According to the China Civil Aviation Resource Website (http://www.carnoc.com/), the passenger traffic of China and the United States in 2018 and 2019 was 8.3 million and 8.1 million, respectively, with an average of 8.2 million. That is, an average of 700,000 per month and an average of 26,700 per day. Assuming that the two passenger flows from US to China and the China to US have the same ratio, that is, 13,300 one-way. So yes, the transfer rate from the United States to China (I) = 13.33 million / 330 million = 0.00000403, and the transfer rate from China to the United States (II) = 13.33 million / 1.4 billion = 0.0000095			
7 8	Confirmed rate (AI) Confirmed rate (AII)	Dmnl	0	Refer to the second part, Problem Description and Hypothesis, hypothesis 5.			
9 10	Asymptomatic rate (I) Asymptomatic rate (II)	Dmnl/day	0.4	CDC. "COVID-19 Pandemic Planning Scenarios" shows the asymptomatic rate is 0.4, which is selected for the model [21].			
11 12	Recovery rate C (I) Recovery rate C (I)	Dmnl/day	0.97 0.95	COVID-19 Dashboard [22]			
13 14	Confirmed delay (I) Confirmed delay (II)	day day	9	According to CDC "COVID-19 Pandemic Planning Scenarios", the median number of days from exposure to symptom onset and from symptom onset to test positive are 6 and 3, respectively.			
15 16	Recovery U delay (I) Recovery U delay (II)	day day	14 14	So far, there is no data on the days when the virus disappears in asymptomatic infected persons. We assume that the quarantine period is 14 days as the virus disappearance period.			
17 18	Recovery C delay (I) Recovery C delay (II)	day day	10 10	According to CDC. "Overview of Testing for SARS-CoV-2 (COVID-19)".			

	Table	2	
ant	narameters	and	express

No.	Variable name	Unit	Calculation formula
1	New unconfirmed (I)	Dmnl/day	MAX("Unconfirmed individuals (I) "*"Basic reproduction rate (I) "*"Susceptible individuals (I) "/"Total population (I)",0)
2	New unconfirmed (II)	Dmnl/day	"Unconfirmed individuals (II) "*"Basic reproduction rate (II) "* "Susceptible individuals (II) "/"Total population (II)"
3	New confirmed (I)	Dmnl/day	MAX(DELAY1I("Unconfirmed individuals (I) "*"Confirmed rate (I)", "Confirmed delay (I)",0), 0)
4	New confirmed (II)	Dmnl/day	DELAY1("confirmed rate (II) "*"Unconfirmed individuals (II)", "Confirmed delay (II)")
5	Transfer susceptible (I)	Dmnl/day	Susceptible individuals (I) "*"Transfer rate (I)"*(1-"Confirmed rate (AI)")
6	Transfer susceptible (II)	Dmnl/day	Susceptible individuals (II) "*"Transfer rate (II)"*(1-"Confirmed rate (AII)")
7	Transfer unconfirmed (I)	Dmnl/day	Unconfirmed individuals (I) "*"Transfer rate (I)"-"Susceptible individuals (I)"*"Transfer rate (I)"*"Confirmed rate (AI)"
8	Transfer unconfirmed (II)	Dmnl/day	Unconfirmed individuals (II) "*"Transfer rate (II)"-"Susceptible individuals (II) "*"Transfer rate (II) "*"Confirmed rate (AII)"
9	Confirmed rate (I)	Dmnl/day	"1-"Asymptomatic rate (I)"
10	Confirmed rate (II)	Dmnl/day	"1-"Asymptomatic rate (II)"
11	New recovery C (I)	Dmnl/day	DELAY1I ("Confirmed individuals (I) "*"Recovery rate C (I)","Recovery C delay (I)",0)
12	New recovery C (II)	Dmnl/day	DELAY1I ("Confirmed individuals (II) "*"Recovery rate C (II)","Recovery C delay (II)",0)
13	New recovery U (I)	Dmnl/day DELAY1 ("Unconfirmed individuals (I) "*"Asymptoma (I)", "Recovery U delay (I)")	
14	New recovery U (II)	Dmnl/day	DELAY1 ("Unconfirmed individuals (II) "*"Asymptomatic rate (II)", "Recovery U delay (II)")
15	Transfer recovery (I)	Dmnl/day	"Recovery individuals (I) "*"Transfer rate (I)"
16	Transfer recovery (II)	Dmnl/day	"Recovery individuals (II) "*"Transfer rate (II)"
17	Susceptible individuals (I)	Dmnl	INTEG (+"Transfer susceptible (II)"-"New unconfirmed (I)"-"Transfer susceptible (I)","Total population (I)")
18	Susceptible individuals (II)	Dmnl	INTEG (-"New unconfirmed (II)"-"Transfer susceptible (II)"+"Transfer susceptible (I)","Total population (II)")
19	Unconfirmed individuals (I)	Dmnl	INTEG (+"New unconfirmed (I)"+"Transfer unconfirmed (II)"-"New confirmed (I)"-"New recovery U (I)"-"Transfer unconfirmed (I)",10000)
20	Unconfirmed individuals (II)	Dmnl	INTEG (+"New unconfirmed (II)"+"Transfer unconfirmed I)"-"New confirmed (II)"-"New recovery U (II)"-"Transfer unconfirmed (II)",10000)
21	Confirmed individuals (I)	Dmnl	INTEG (+"New confirmed (I)"-"New recovery C (I)",0)
22	Confirmed individuals (II)	Dmnl	INTEG (+"New confirmed (II)"-"New recovery C (II)",0)
23	Recovery individuals (I)	Dmnl	INTEG (+"New recovery C (I)"+"New recovery U (I)"+"Transfer recovery (II)"-"Transfer recovery (I)",0)
24	Recovery individuals (I)	Dmnl	INTEG (+"New recovery C (II)"+"New recovery U (II)"+"Transfer

Table 3The main variables and expressions

4. Computer simulation and impact analysis

To better analyze the spread and impact of COVID-19 through air transportation, especially between United States and China, three key indicators, *Basic* *reproduction rate, Initial infection individuals* and *Transfer rate,* are selected as independent variables to analyze the system changes caused by this. The main observation-dependent variables selected are *Susceptible individuals(I), Recovery individuals(I),*

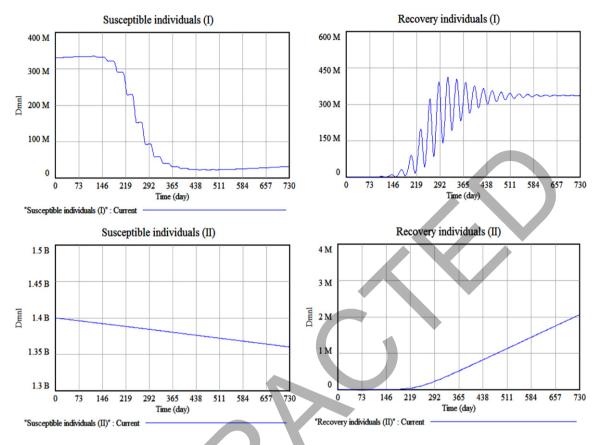


Fig. 3. Validity testing

Susceptible individuals(II), Unconfirmed individuals(I), Confirmed individuals(II), and Recovery individuals (II).

4.1. Basic reproduction rate

Sanche (2020) analyses suggest the exponential growth rate for COVID-19 in the outbreak is probably 0.21–0.3/day [25], which is basically consistent with the data given by the CDC. 0.25/day, an average value was selected for basic analysis.

In order to further analyze the impact of the *Basic reproduction rate* on the system, suppose the changes in *Basic reproduction rate* are respectively 0.05 0.15 0.25 0.35 0.45 and 0.55. The main observation variables are shown in Fig. 4.

Through analysis, from the changes in the main parameters in Fig. 4, the following conclusions can be drawn. In the case of *Basic reproduction rate* = 0.05, that is, when the value of *Basic reproduction rate* is small, the virus can stop spreading without intervention. For other values of *Basic reproduction rate*, COVID-19 will spread over a period of time to achieve the effect of herd immunity [26]. As the value of *Basic reproduction rate* increases, the spread will be faster, and the effect of herd immunity will also be faster, but it may have a large impact on the medical system and cause substantial casualties.

For the spread of the COVID-19 from one country to others through air transportation, the larger value of *Basic reproduction rate*, the easier to spread the virus to other countries [27]. Basic reproduction rate is an important factor for the international spread of covid-19 by air transportation to nonepidemic countries.

4.2. Initial infection individuals

Since COVID-19 has an incubation period, there may be misjudgments in the initial stage, so it is very difficult to determine the initial infection data. The original analysis selected unconfirmed individuals = 10000 [28]. In order to analyze the impact of the initial number of infected individuals on the system, assume that the changes in initial unconfirmed individuals are 100, 1,000, 10,000, 50,000,

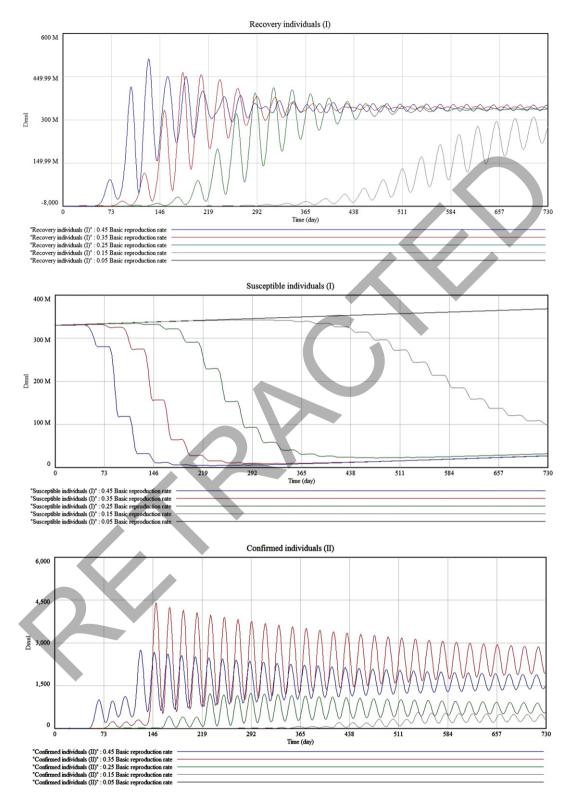


Fig. 4. (Continued)

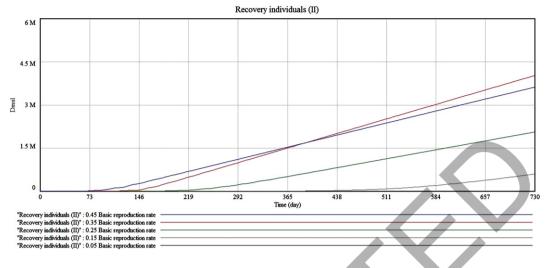


Fig. 4. The impact of changes in the Basic Reproduction Rate on the main parameters

and 100,000. The main observation variables are shown in Fig. 5.

Through analysis, from the changes in the main parameters in Fig. 5, the following conclusions can be drawn [29]. The larger the number of *Initial unconfirmed individuals*, the later the control of COVID-19, the faster the spread, and the faster the effect of herd immunity. The negative effect is that it is easier for large-scale mass outbreaks to cause a considerable impact on the medical system.

For the the spread of the COVID-19 epidemic to other countries through air transportation, with other parameters unchanged, the larger the number of *Initial unconfirmed individuals*, the more likely it is to pose a threat of imported transmission to nonepidemic countries. However, this change cannot constitute the main influencing factor.

4.3. Transfer rate

The initial transfer rate is calculated based on regular flights in 2019 between countries. In order to further analyze the impact of changes in the transfer rate, assume that the changes of transfer rate, that is, international flights reduction, are 5%, 10%, 25%, 50%, 75% and 100% of normal flights under the epidemic. The main observation variables are shown in Fig. 6.

Through analysis, from the changes in the main parameters in Fig. 6, the following conclusions can be drawn [30]. The change in *Transfer rate* has a slight impact on the spread of COVID-19 in countries where the epidemic is at the center, but the impact is not significant.

For the spread epidemic to other countries through air transportation, with other parameters unchanged, the larger the transfer rate value, the easier it is to pose a threat of imported transmission to nonepidemic countries [31]. This change is very significant and obvious.

5. Conclusion

The paper systematically analyzed the factors affecting the spread of COVID-19 in air transportation, established a system dynamics model, and passed the validity test.

Three key indicators, *Basic reproduction rate*, *Initial infection individuals* and *Transfer rate*, are selected as independent variables to analyze the system changes. Through computer simulation, with the increase of the *Basic reproduction rate* and *Initial unconfirmed individuals*, the COVID-19 spread will accelerate in the country where the epidemic is located, and at the same time it will easily impact the medical system and cause a large number of casualties, while *Transfer rate* has a slight impact on the spread in epidemic country.

For the spread of the COVID-19 to other countries through air transportation, the degree of the three independent variables of impact is different. *Basic reproduction rate* and *Transfer rate* will have a significant impact on the spread of the epidemic, while

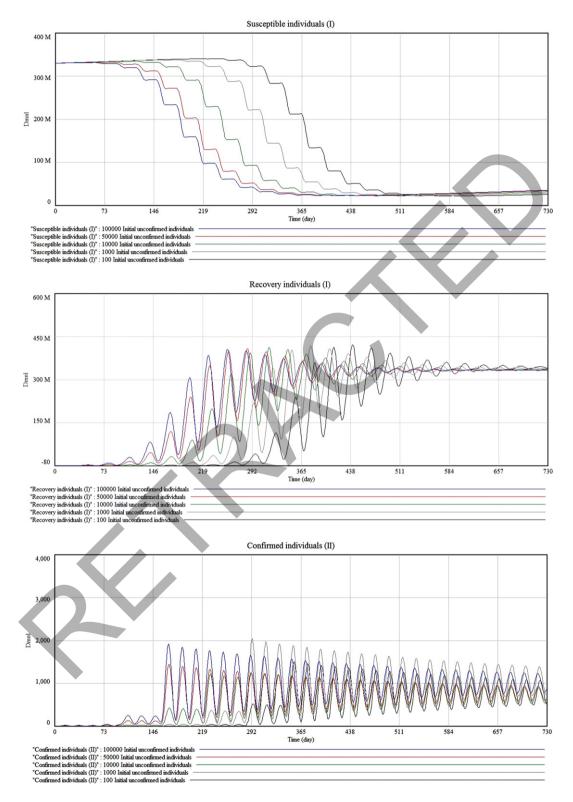


Fig. 5. (Continued)

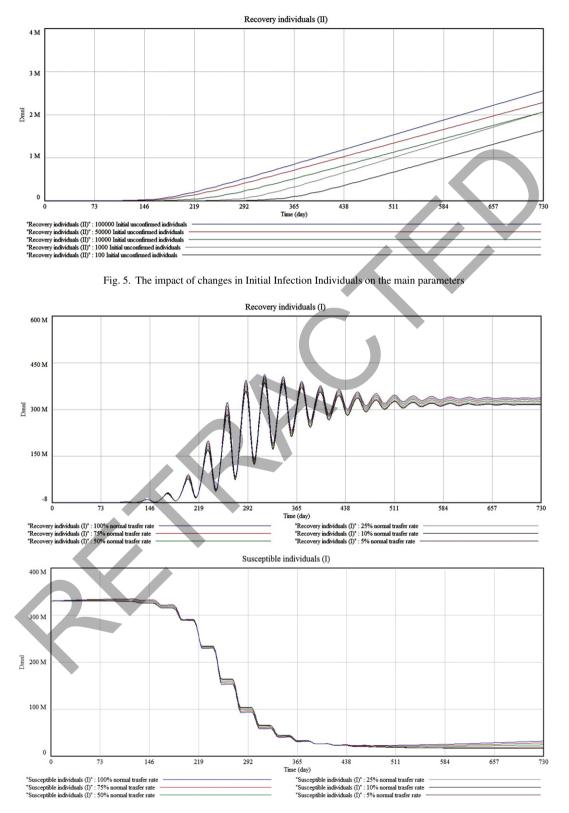


Fig. 6. (Continued)

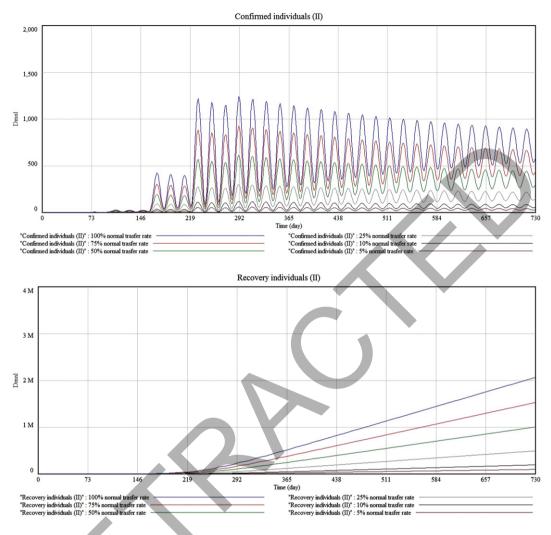


Fig. 6. The impact of changes in Transfer Rate on the main parameters

the *Initial infection individuals* have no significant impact. In other words, the infectivity of COVID-19 and the number of people transferred by air transportation are important factors affecting the import of epidemics for nonepidemic countries, and the sooner or later control of infectious diseases by epidemic countries has little effect on nonepidemic countries.

The spread of COVID-19 between countries through air transportation is analyzed and discussed, and the system dynamics model established is based on data from China and the United States. The data of other countries has not been analyzed, and the applicability of the model needs further testing and further research. In addition, a new strain of COVID-19 with stronger transmission ability has recently appeared, and the influence of this factor is not considered in the paper, and it is also used as the content of further discussion and research.

Acknowledgments

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