

Review

Lucidity in the Deeply Forgetful: A Scoping Review

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Accepted 30 December 2023

Pre-press 8 February 2024

Abstract.

Background: Even in severe states of Alzheimer's disease and related dementias (ADRD), accounts of an unexpected or paradoxical return of awareness and lucidity have been reported in some patients, documented formally, and studied.

Objective: A scoping review was undertaken to survey the literature on the topic.

Methods: Five databases were searched using pertinent search terms. Results were deduplicated and subsequently screened by title and abstract for relevance. Remaining reports were read and included or excluded using specific inclusion criteria. 30 results consisted of a mix of perspective papers, case reports, qualitative surveys of caregivers, law journal comments, and mechanistic speculation.

Results: An equal mix of primary and secondary research was identified.

Conclusions: The papers collected in this review provide a valuable methodological outline for researching the topic of lucid episodes in ADRD. The verified legitimacy and simultaneous inexplicability of these events promote philosophical discussion, mechanistic investigations, and sorely needed research in the field of ADRD.

Keywords: Alzheimer's disease, awareness, caregivers, dementia, lucidity, neurodegenerative disorders, paradoxical lucidity, terminal lucidity, unexpected lucidity

INTRODUCTION

Dementia, literally a decline from a former mental state, is a syndrome with numerous neurodegenerative disease causes. A century ago, neurosyphilis was the primary cause, whereas today, in the age of antibiotics and as people are living into older ages, dementia is typically secondary to many diseases such as Alzheimer's, Parkinson's, or Lewy

Body diseases to mention just a few of dozens. In a nationally representative cross-sectional survey, the 2016 Health and Retirement Study found that 10% of individuals aged 65 years and older in the US had dementia [1]. Based on 2020 US census data, another study estimated the prevalence of Alzheimer's disease in the same age group at 1 in 9 [2]. Increasingly, clinicians speak of "mixed diagnoses" combining Alzheimer's disease with vascular dementia, chronic traumatic encephalopathy, and so forth. In all cases of dementia, there is a gradual decline in mentation and a point where human frailty and dependence make a caregiver necessary.

The term *paradoxical lucidity* can be defined as the spontaneous, unexpected reemergence of cognitive

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faculties in a deeply forgetful person for a transient time, or as described by the National Institute on Aging Work-shop on Lucidity in Dementia, the “unexpected, spontaneous, meaningful, and relevant communication or connectedness in a patient who is assumed to have permanently lost the capacity for coherent verbal or behavioral interaction due to a progressive and pathophysiologic dementing process” [3]. We prefer the term “unexpected lucidity” because relatively few people understand the meaning of “paradoxical” in this context, but in this review we use it because it does have a certain unfortunate dominance in the literature. Due to the unexpected nature of such occurrences, it can also be referred to as ‘unexpected lucidity’ [4]. Clinically, ‘deeply forgetful’ [4] connotes those individuals diagnosed with Alzheimer’s disease and related dementias (ADRD), who have limited capacity for memory. The phenomenon of unexpected lucidity is in some sense perhaps *paradoxical* because cognitive faculties previously presumed extinct reappear, including speech, facial recognition, curiosity, self-identity, and others. Such events, whatever their length and depth, constitute a medical and epistemological surprise, especially to caregivers. The nomenclature of paradoxical or unexpected lucidity is much broader in scope than its cousin, ‘terminal lucidity’, which is more widely anthologized in the literature and is characterized by such a recovery of cognitive activities shortly before death [5, 6]. Unexpected lucidity can occur over many years, sporadically or through stimulation such as personalized music, visual or olfactory stimuli, and touch. It can occur any time in the experience of ADRD and does not have any relation to or require an imminent death. Unexpected or paradoxical lucidity appears as a non-chronological feature of ADRD [5].

The human person is at the center of this discussion. It is with this underlying but opaque continuity of identity and personhood in mind that paradoxical lucidity takes on meaning; namely, that the human subject, regardless of alertness or mental aptitude, remains present to those around them even if signs of properly human emotive and verbal communication have receded. Paradoxical lucidity amounts to a transient reversal of the signs of disease and presents an opportunity for new understanding of the human person still present throughout the disease. ADRD are fraught with medical and ethical quandaries, and these discussions must be centered around the personhood of the patient. In a sphere dominated by hypercognitive bias [4, 7] we must make room to

notice the elements of self-identity that may reappear in unexpected ways, episodes that redefine the caregiver’s attitude and morale as such.

Until recent years, paradoxical lucidity has received comparatively little direct attention in the neurodegenerative and geriatric research space. The infancy of this topic stems from opaque neurological mechanisms and scarce population-based surveys [8]. With the aim of recentring on the continuity of the underlying identity of the human self, the present scoping review seeks to survey the literature about paradoxical lucidity including the extent and nature of the evidence on the topic, and to identify any gaps in the current body of knowledge spanning heterogenous disciplines (e.g., neurology, psychiatry, psychology, bioethics, etc.). While paradoxical lucidity is not widely characterized in the literature, studies are ongoing [9, 10].

To the authors’ knowledge, this is the first comprehensive literature review on the topic. The scoping review intends to survey the landscape, report the findings to date, and amplify the experiences of deeply forgetful people and their caregivers—who witness paradoxical lucidity—as worthy of attention and thoughtful consideration.

METHODS

A small body of published literature, and an even smaller body of actionable data, exist about episodes of lucidity in deeply forgetful people. For this reason, a scoping review of the existing material was undertaken according to JBI guidelines and reported using the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) Extension for Scoping Reviews checklist [11]. Identifying and characterizing primary and secondary sources about paradoxical lucidity, regardless of discipline or field, was the aim of the scoping review. Primary research is limited to direct evidence: experiments, case reports, surveys and qualitative or quantitative studies. Secondary research consists of theoretical articles, perspectives, and reviews about the topic.

English language literature published from the inception of each database until the month of the scoping review initiation (July 2023) was collected (Fig. 1). The databases included: MEDLINE (hosted by Ovid); Embase (hosted by Elsevier); Psych-Info (hosted by EBSCO); and JSTOR (for possible humanities results). Terms for the concepts of “lucidity” and “advanced dementia” were combined with

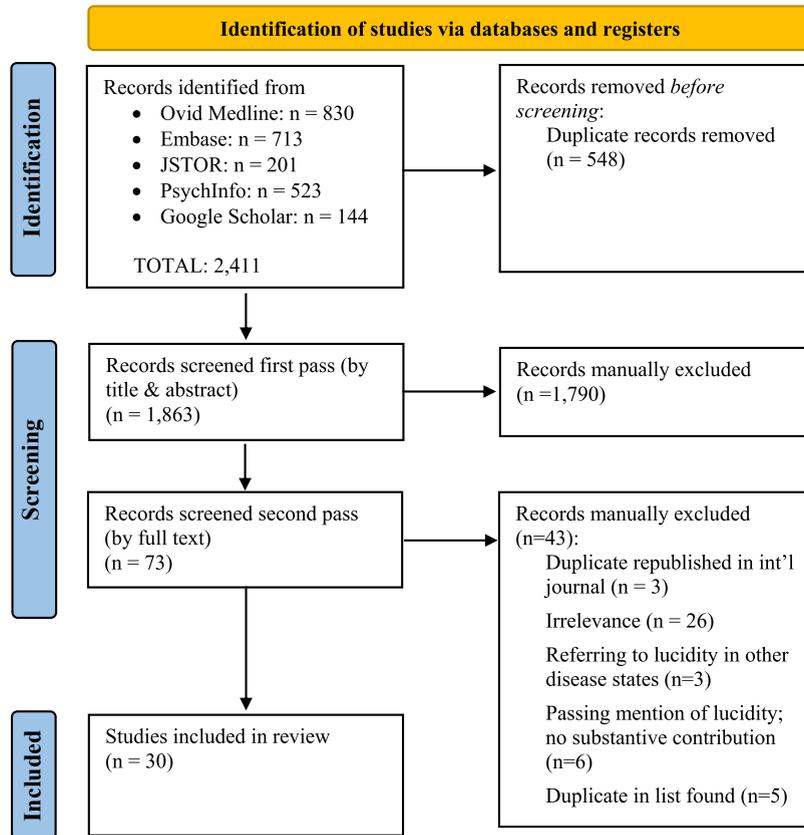


Fig. 1. Review algorithm of search results from inception of each database.

Boolean operators and searched in the title, abstract and keyword fields. Controlled vocabulary terms were also searched where available. Finally, the Google Scholar search engine was consulted for any stray sources in fields possibly not encompassed by the database searches and a pragmatic decision about quantity was made as display results became less relevant. (See Supplementary Table 1 for full disambiguation of searches.)

Article eligibility was limited to all descriptions of the phenomenon of paradoxical lucidity in the form of data collection studies and secondary literature. Sources were excluded if they addressed properly perimortem phenomena, such as “terminal lucidity”. However, some articles were strategically included if they addressed terminal lucidity in a fashion that noted overlap with paradoxical lucidity. Additionally, if the full text of an article could not be retrieved, such as in symposia or expert workshop summaries, then the result was excluded. Ongoing studies were omitted.

Furthermore, if an eligible article was identified, it was extracted and entered into a record for synthe-

sis in summary format. Information about the article recorded included authorship, date of publication, journal, country, design/article type and author conclusions.

Initial results were downloaded from each database and collated in EndNote 20, where the first author subsequently deduplicated them semi-manually. The results were then uploaded to the Rayyan software (rayyan.ai) where the first phase of screening was performed by title and abstract salience by the first author. In the second phase of screening, full text versions of each result were read to ensure eligibility by the first and second authors. A second, entirely manual deduplication was performed at this stage, to preclude results initially published in a domestic journal and later republished in an international journal. In several cases, journal issues were obtained through interlibrary loan. Finally, a snowballing review of each publication’s citations was performed to account for any otherwise uncovered and germane material. Screening and extraction were completed by the primary author of this paper.

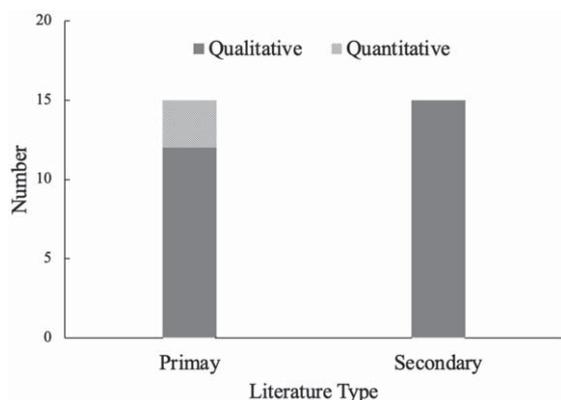


Fig. 2. Primary research was predominantly qualitative content analyses of caregiver questionnaires, interviews, or other survey method. Some population-data was quantitatively assessed. Secondary literature focused on theoretical aspects of lucidity and methodology.

RESULTS

A total of 2,411 records were obtained. 30 were found to be eligible (Table 1). An equal portion of primary and secondary research was identified and included in the final tabulation (Fig. 2). Case studies and insightful perspective papers predominated the results, mostly from the last five years. Most work has attempted to propose a valid methodological framework for studying lucidity in ADRD, with a common focus on defining episodes of lucidity, and consistent caregiver education and reporting techniques.

DISCUSSION

While it is encouraging to see enthusiasm for the topic, none of the research performed thus far is medically actionable. The study of lucidity in ADRD is nascent and its research frameworks are undergoing multimodal validation [8]. Episodes of lucidity are real, unexplained, and difficult to interpret. Is grandma still fully *there* in some mysterious sense underlying the neurological decline, or are these episodes simply moments when certain neurological fragments are residually activated [7]? Sir John Eccles, the Nobel Laureate who originally discovered the neurotransmission ongoing at the synapse, and with whom Post studied, warned against the reductionism of “promissory materialism,” as have many great philosophers of mind in recent years including the pre-eminent Thomas Nagel in his 2012 classic *Mind and Cosmos* [40]. On the other hand, one can interpret these episodes within a metaphysics

of pure materialism [7]. The scientific facts remain what they are, but they can be interpreted in different cultural contexts. These facts pose challenges and research opportunities for studies from fields ranging from neurobiology to philosophy of consciousness [41]. Vestigial interdisciplinary walls break down when studying the human mind. A transdisciplinary approach is merited.

The open question of some continuity of underlying identity despite communicative losses related to ADRD is ethically laden. This is because our respect for the deeply forgetful person is at stake. For example, if the person is in some surprising sense “still there” despite losses we are less likely to apply negative metaphors, such as “husk,” “shell,” “gone,” and “empty,” and we are more likely to make attentive efforts to notice the hints or signs of self-identity upon which “respect for persons” is based.

The precise nature of consciousness in deeply forgetful people is poorly understood, leaving open questions. Nonetheless, unfilled gaps in the scientific knowledge of this phenomenon cannot be justification for nonscientific or paranormal explanations. Such arguments by way of negation amount to the fallacy of denying the consequent and their conclusions are invalid (e.g., something of the form: if the brain is purely physical and consciousness is the purely physical product of its activity, then without the brain consciousness is impossible. But we see spontaneous return of consciousness in the setting of the most debilitating, severe, and terminal brain deficits. Ergo, consciousness may not be a purely physical phenomenon.). At the same time, it must be affirmed that the phenomenological whole value-picture of each human life is greater than the sum of its individual biological components.

Conversations about the theory of consciousness are academically enticing but bear little relevance in the day to day lives of deeply forgetful people and their caregivers. The greatest impact in the field of ADRD will come from treatment solutions derived from validated biological mechanisms. These conclusions are thoroughly delineated in the collective results of this review. In other words, in the works found, authors tend to agree that an accurate elucidation of the biological mechanism of these events will promote discovery of possible neurological loci for these experiences and eventual treatment for ADRD. At this point in time, lucidity in ADRD remains shrouded in mystery. Impact will also derive from a better understanding the emotional responses that primary, secondary, and professional caregivers have

Table 1
Database results and takeaways

Source	Journal	Year	Country	Design/Article Type	Author's Conclusion
Batthyány and Greyson [12]	<i>Psychology of Consciousness: Theory, Research, and Practice</i>	2021	Multi-national	Survey/Qualitative Study	Lucid episodes are primarily a near-death phenomenon. Discussion of relationship between paradoxical and terminal lucidity.
Benson et al. [13]	<i>Alzheimer Disease & Associated Disorders</i>	2023	United States	Case Report	Lucid episode is distressful to the patient. Episodes exist along a continuum in the disease progression.
Bostanciklioglu [14]	<i>Alzheimer's & Dementia</i>	2021	Türkiye	Theoretical Article	Sudden changes to neuromodulatory circuits in advanced dementia can produce neurotransmitter discharge triggering arousal & attention. Lucid dreaming may be a mechanistic model for studying lucidity in dementia.
Bostanciklioglu [15]	<i>Alzheimer's & Dementia</i>	2020	Türkiye	Theoretical Article	Conventional hippocampus-centered memory theory may not be valid. Researching the relationships of the raphe nucleus, locus coeruleus, main mediators of serotonin level in brain, and memory retrieval may make progress in the study of lucidity and treatment of ADRD.
Eldadah, Fazio, and McLinden [16]	<i>Alzheimer's & Dementia</i>	2019	United States	Perspective	Research resources offer potential for understanding mechanisms of ADRD and consciousness
Gilmore-Bykovskiy et al. [17]	<i>The Gerontologist</i>	2023	United States	Qualitative Study	Episodes of lucidity are distinct from routine fluctuations of the disease process. Caregiver interpretations vary. Observation is the best way to study the phenomenon; caregivers are central to the discussion. Qualitative appraisal instruments needed.
Gilmore-Bykovskiy et al. [18]	<i>Journal of Gerontological Nursing</i>	2021	United States	Letter to the Editor	Episodes of lucidity could occur when the person is alone. Scope of definition must be broad because meaning of episode is not limited to the caregiver.
Gilmore-Bykovskiy et al. [19]	<i>Alzheimer's & Dementia</i>	2023	United States	Perspective	Guiding questions, considerations, possible hypotheses & flowchart of event characteristics. Potential for disambiguating between paradoxical and terminal lucidity.
Gilmore-Bykovskiy et al. [20]	<i>Alzheimer's & Dementia</i>	2023	United States	Qualitative Study	Episodes of lucidity are both positive and negative experiences for caregivers.
Gotell, Brown, and Ekman [21]	<i>International Psychogeriatrics</i>	2003	Sweden	Comparative Study	Music can arouse lucid episodes. A unifying mechanism is nebulous.
Griffin et al. [22]	<i>Alzheimer's & Dementia</i>	2022	United States	Qualitative Study	Caregiver experience with lucid episodes are usually positive and sometimes stressful.
Klapman [23]	<i>The University of Western Ontario</i>	2021	Canada	Thesis/Quantitative Study	Experiment gives framework for studying the effect of music on lucidity. Tempo-specified or familiar music had no effect on the variable of divergent thinking.
Mashour et al. [24]	<i>Alzheimer's & Dementia</i>	2019	United States	Theoretical Article	Upon systematic verification, lucid episodes can show that the brain can assess functional networks to reach the outside world even in the setting of severe dementia. Ethical and research frameworks proposed.

(Continued)

Table 1
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Source	Journal	Year	Country	Design/Article Type	Author's Conclusion
Meeks [25]	<i>University of Dayton Law Review</i>	2018	United States	Law Journal Comment	Lack of objective measurement of lucid episodes creates legal ambiguities which can be resolved by the creation of Certified Alzheimer's Legal Specialists. This can avoid serious legal quandaries.
Morris and Bulman [26]	<i>Journal of Gerontological Nursing</i>	2020	Canada	Concept analysis/Literature Review	The concept of lucidity has a basis in law and dream study. A theoretical definition is proposed, distinguished by spontaneity in the context of neurodegenerative disease and meta-awareness.
Nahm et al. [5]	<i>Archives of Gerontology and Geriatrics</i>	2012	United States	Review	Reports of lucidity prevalent in 19th century medical literature. Mechanisms of lucidity are elusive; more research and neuroscientific models are needed.
Nahm [27]	<i>Alzheimer's & Dementia</i>	2022	Germany	Letter to the Editor	Paradoxical lucidity and terminal lucidity sometimes overlap. Terminal lucidity does not necessitate a neurodegenerative disorder. Paradoxical lucidity is germane to advanced neurodegenerative disorders and may or may not be expressed shortly before death.
Nahm [28]	<i>Journal of Anomalous Experience and Cognition</i>	2022	Germany	Perspective	Memory and consciousness is elusive, as demonstrated in individuals with malformed brains. Anomalous events are crucial to study. Implications for enhancing the understanding of consciousness.
Ney, Peterson, and Karlawish [29]	<i>Journal of the American Geriatric Society</i>	2021	United States	Case Study	Paradoxical lucidity has vast ethical implications. Preliminary ethical framework for patient, caregiver(s), and family proposed. Humility in approach is foundational.
Normann et al. [30]	<i>Journal of Clinical Nursing</i>	2006	Sweden	Population Prevalence Study	Episodes of lucidity were observed in every second resident, in a sample of people living with severe dementia in institutions
Normann, Asplund, and Norberg [31]	<i>Journal of Advanced Nursing</i>	1998	Norway	Survey/Qualitative Study	Lucid episodes are an important phenomenon of caregiving for people with severe dementia. Research of episodes of lucidity must be based on systematic observational criteria. Dementia does not destroy personhood or selfhood.
Normann et al. [32]	<i>Journal of Clinical Nursing</i>	2005	Norway	Case Study	Focus should be given to the topics raised by the person with dementia if they can communicate. Supportive, kind attitude important. Results cannot be generalized because this article is a case study.
Normann, Norberg, and Asplund [33]	<i>Journal of Advanced Nursing</i>	2002	Norway	Case Study	Supportive attitude towards the patient and avoiding making demands paramount. It is crucial that the person with dementia experience relatedness and being a part of. The connection between supporting and avoiding demands and lucidity/non-lucidity during conversation needs further study.
Peterson et al. [34]	<i>Alzheimer's & Dementia</i>	2022	United States	Letter to the Editor	Terminal lucidity is a type of paradoxical lucidity.

Peterson et al. [3]	<i>Alzheimer's & Dementia</i>	2022	United States	Perspective	The provisional definition of paradoxical lucidity given by the National Institute on Aging 2018 expert workshop is unsatisfactory; refined definition proposed. Debate is important to establish the relationship between basic concepts within the provisional definition & how paradoxical lucidity is measured.
Ramirez et al. [35]	<i>Aging & Mental Health</i>	2023	United States	Survey/Qualitative Study	Collaborative work of an External Advisory Board, modified focus groups with staff and family caregivers, and structured cognitive interviews with health professionals were used to create a revised version of the lucidity measure.
Rice, Howard, and Huntley [36]	<i>International Psychogeriatrics</i>	2019	United Kingdom	Systematic Review	Awareness is relational according to caregivers. Systematized training of caregivers to assess awareness is desirable. All studies used a qualitative approach and there was significant variations of research questions, sample sized & methods.
Robnett et al. [37]	<i>The American Journal of Occupational Therapy</i>	2021	United States	Mixed Methods Survey	Music may be a catalyst for episodes of paradoxical lucidity. Directed stimulation should be researched to make lucid episodes more common.
Shulman et al. [38]	<i>Journal of the American Academy of Psychiatry and the Law Online</i>	2015	United States	Law Journal Comment/Research Article	The application of the legal concept of the lucid interval is invalid in the setting of dementia and should not be used by courts to establish testamentary capacity.
Teresi et al. [39]	<i>Journal of Gerontological Nursing</i>	2023	United States	Pilot Study/Survey	The idiosyncratic nature of lucid episodes make them challenging to study systematically; reliance on caregivers' and healthcare professionals' reports is necessary. Most of them have seen unexpected lucid episodes. Structured interview instrument is designed. Systematic studies needed to permit elucidation of physiological or psychological mechanisms.

when they witness these episodes. Are these experiences inspiring of hope and meaning in caregivers, or do they lead to anxiety? Future and ongoing studies would benefit from examining the population prevalence of lucidity in ADRD as well its emotional impact on the caregiver. In a subsequent paper we will resolve the emotional responses to these episodes based on a national survey of caregivers.

Limitations

Limitations to this review method include one person making the first phase screening and data extraction decisions, as well as the exclusion of non-English language reports.

AUTHOR CONTRIBUTIONS

John P. Ross (Conceptualization; Data curation; Formal analysis; Investigation; Methodology; Project administration; Software; Writing – original draft; Writing – review & editing); Stephen G. Post (Conceptualization; Supervision; Writing – review & editing); Laurel Scheinfeld (Data curation; Formal analysis; Investigation; Methodology; Resources; Software; Writing – review & editing).

ACKNOWLEDGMENTS

The authors have no acknowledgments to report.

FUNDING

The authors have no funding to report.

CONFLICT OF INTEREST

The authors have no conflict of interest to report.

DATA AVAILABILITY

The data supporting the findings of this study are available within the article and/or its supplementary material.

SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: <https://dx.doi.org/10.3233/JAD-231396>.

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