Effects of Rivastigmine in Alzheimer's Disease Patients with and Without Hallucinations

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Abstract. Hallucinations in Alzheimer's disease (AD) may indicate greater cortical cholinergic deficits. Rivastigmine has shown larger treatment benefits *versus* placebo in dementia with Lewy bodies and Parkinson's disease dementia patients with hallucinations. In this retrospective, hypothesis-generating analysis, we investigated whether hallucinations in AD were associated with greater treatment benefits with rivastigmine. Data were pooled from two randomized, double-blind, 6-month, mild-to-moderate AD trials comparing rivastigmine with placebo. Co-primary efficacy parameters were the Alzheimer Disease Assessment Scale-cognitive subscale (ADAS-cog) and Clinician's Interview-Based Impression of Change plus Caregiver Input (CIBIC-plus). Efficacy data were analyzed for two sub-populations: those with and those without hallucinations at baseline. Of 927 patients, 194 (21%) reported hallucinations at baseline. Hallucinators tended to have greater decline on placebo on all outcome measures. On the ADAS-cog, mean rivastigmine – placebo differences of 3.7 points in hallucinators and 2.2 points in non-hallucinators were reported at 6 months (both p < 0.001). In hallucinators, a significant rivastigmine – placebo difference of -1.0 points (a beneficial effect) was seen on the CIBIC-plus at 6 months (p < 0.001). Non-hallucinators showed a smaller significant treatment difference of -0.3 points (p < 0.05). Interaction testing suggested that differences in treatment effects were significant between hallucinators. Hallucinators predicted greater treatment responses to oral rivastigmine.

Keywords: Alzheimer's disease, cholinesterase inhibitor, hallucinations, rivastigmine

INTRODUCTION

Alzheimer's disease (AD) is the most common cause of dementia, 4.6 million new cases of which arise each year worldwide [1]. In addition to amyloid deposits and neurofibrillary tangles, AD is characterized by deficits in cortical cholinergic innervation, caused by loss of cortical cholinergic neurons in the basal forebrain with a consequent reduction in choline acetyltransferase activity [2]. This cholinergic deficit contributes to the cognitive dysfunction seen in AD.

In patients with Lewy body pathology, greater cortical cholinergic deficits have been reported in hallucinators *versus* non-hallucinators [3]. Data also support the proposal that behavioral and psychological symptoms (such as hallucinations) in AD are related, in part, to cholinergic neuronal loss and a subsequent decline in

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acetylcholine levels in regions of the brain responsible for behavioral and emotional responses [4]. Hallucinations are common in AD, present in 20–40% of patients [5–8], with visual hallucinations the most common form [9,10].

The proposed association of hallucinations with cortical cholinergic deficits, and previous findings in dementia with Lewy bodies (DLB) and dementia associated with Parkinson's disease (PDD) patients with and without hallucinations [11,12], provided a rationale to investigate the effects of rivastigmine in AD patients with and without hallucinations. The aim of this study was to perform a pooled analysis of two similar large randomized clinical trials in mild-to-moderate AD that were performed as part of the ADE-NA (Alzheimer's Disease Treatment with ENA-713) program, in order to investigate the effects of rivastigmine *versus* placebo in the sub-populations of patients with and without hallucinations at baseline.

METHODS

Patients

This was a pooled analysis of two large randomized placebo-controlled studies of rivastigmine capsules in AD [13,14]. Patients enrolled in either of the two studies were required to fulfill the criteria for dementia of the Alzheimer's type as described in the fourth edition of the Diagnostic and Statistical Manual of Mental Disorders [15], have probable AD according to the criteria of the National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association [16], and score between 10 and 26 on the Mini-Mental State Examination (MMSE). Age ranges varied between 45 and 89 in one study [13], and between 50 and 85 in the other [14]. All patients had a responsible caregiver, and both patients and caregivers provided written, informed consent. Patients with concomitant diseases (apart from causes of dementia other than AD, or severe and unstable medical illnesses such as serious cardiac disease, severe obstructive pulmonary disease, or aggressive malignancies) were included. Patients were permitted to continue with most medications for coexistent diseases. Anti-cholinergic drugs, health food supplements containing acetylcholine precursors, putative memory enhancers, and insulin were not permitted. The use of psychotropic drugs was prohibited, apart from small doses of short acting benzodiazepines,

chloral hydrate, or haloperidol in one trial [19], and occasional use of chloral hydrate in the other [13]. Procedures in both studies were in accordance with the Helsinki Declaration as revised in 1983 [13,14].

Study design

This analysis examined pooled patient data from two multicenter, randomized, double-blind, placebocontrolled, 3-arm, parallel-group 6-month trials of rivastigmine capsules in *de novo* patients with mildto-moderate AD [13,14]. These studies were chosen due to their almost identical study design, with flexible dosing up to 12 mg/day in two divided daily doses, which facilitated pooling of the data. The other trials of rivastigmine in AD included in the rivastigmine registration submission package were excluded from this analysis as they enforced a fixed dose of rivastigmine or employed three-times daily dosing [17–19]. These variations in study design could confound the analysis of pooled data.

Both studies consisted of an initial fixed dose titration phase of between 7 and 12 weeks, in which dosages were increased weekly and decreases in doses due to adverse events were not permitted. During the dose maintenance phase, the maximum tolerated amount was maintained, and decreases of doses due to side effects were possible within an assigned range. Patients were randomized to 1–4 mg/day or 6–12 mg/day target dose rivastigmine, or placebo; though for the purposes of this pooled analysis only the higher target dose and placebo groups were included.

Co-primary efficacy outcome measures in both studies were the Alzheimer Disease Assessment Scalecognitive subscale (ADAS-cog) [20], to assess cognition, and the Clinician's Interview-Based Impression of Change plus Caregiver Input (CIBIC-plus) [21], to assess global performance. The Progressive Deterioration Scale (PDS) [22] was a secondary outcome measure, assessing ability to perform daily activities. Efficacy evaluations were performed at baseline and at Weeks 12, 18, and 26, or at withdrawal in the event of early termination. The Global Deterioration Scale (GDS) [23] and MMSE [24] were used as staging measures at baseline and Week 26. Neuropsychiatric symptoms were collected using the items of the Behavioral Pathology in Alzheimer's Disease Rating Scale (BEHAVE-AD) [25], used as an integrated aspect of the CIBIC-plus in this study. The BEHAVE-AD is a 25-item scale covering the domains of paranoid and delusional ideation (7 items), hallucinations (5 items),

activity disturbances (3 items), aggressiveness (3 items), diurnal rhythm disturbances (1 item), affective disturbance (2 items), and anxieties and phobias (4 items). Items are rated on a four-point scale (0– 3) based on a clinical interview with a caregiver, with scores assigned according to the severity of symptoms observed. A score of zero in a specific item indicates absence of a symptom and a score of three represents the most severe category.

The current additional analyses were performed in the AD patient population based on the presence of hallucinations (including both visual and non-visual hallucinations) at baseline. The presence or absence of any hallucinations was recorded using the items of the BEHAVE-AD. Efficacy data were analyzed for two sub-populations: those with and those without hallucinations at baseline. All patients who received at least one dose of study medication and who had a subsequent safety evaluation were included in the safety population. Efficacy populations were: classical intention to treat (ITT), modified ITT (mITT), and traditional observed cases (OC). The ITT population included all randomized patients, whether or not they received treatment. In the case of missing assessments, a retrieved drop-out assessment was used; if there was no retrieved drop-out assessment, the last prior observation available was carried forward as an imputed value. The mITT population comprised randomized patients with at least one evaluation while being treated for whom the immediately preceding assessment was imputed for subsequently missing evaluations or data. The OC population comprised all randomized patients with evaluations made while on study drug at all of the designated assessment times. No imputations were used for the OC analyses. The primary confirmatory analysis was based on the change from baseline at Week 26 in the ITT population.

Statistical methods

Within the two sub-populations (hallucinators and non-hallucinators), treatment differences on the ADAScog, PDS, and CIBIC-plus (as continuous scores) were compared between rivastigmine- and placebo-treated patients using analysis of covariance (ANCOVA), with treatment and country as factors and baseline scores as covariates. Treatment differences on the CIBICplus and BEHAVE-AD were compared using the van Elteren test, blocking for country. The prevalence of adverse events was compared between rivastigmineand placebo-treated patients using Fisher's Exact Test, and differences in assessments of vital signs and ECG evaluations between the two sub-populations were tested for statistical significance using one-way ANCOVA.

When assessing the results of the ADAS-cog, PDS, MMSE, and GDS, the validity and statistical significance of the subgroup analyses were evaluated by testing for interaction. This was done using an ANCOVA model with treatment, country and baseline hallucination status as factors with interaction between treatment and baseline hallucination status, and appropriate baseline score (ADAS-cog, PDS, etc.) as covariates. For the results of the CIBIC-plus and BEHAVE-AD, interaction tests were carried out with an analysis of variance (ANOVA) model, using treatment, country, and baseline hallucination status as factors with interaction between treatment and baseline hallucination status. This was an exploratory hypothesis-generating analysis with no correction for multiple comparisons.

RESULTS

Patients

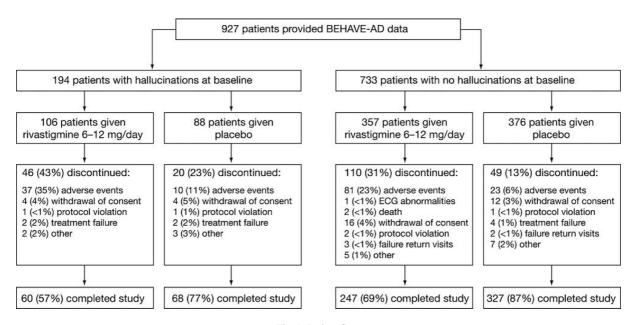
A total of 1424 patients entered the two AD trials [18, 19]. At baseline, these patients had a mean age of 73.2 years, a mean duration of dementia of 39.1 months, and a mean baseline MMSE score of 19.8. The majority (61%) were women. There were no differences of note between the baseline characteristics and demographics of the two study populations [13,14]. The groups included in this pooled analysis (6-12 mg/day target dose rivastigmine and placebo) comprised a total of 948 patients. Of these 948 patients, 463 patients on rivastigmine 6-12 mg/day and 464 patients on placebo provided BEHAVE-AD data. Figure 1 illustrates the pooled patient flow through the trials. The baseline characteristics of patients who provided BEHAVE-AD data are shown in Table 1. There were no notable differences in baseline characteristics between these 927 patients and the total population of 1424 patients [13,14]. Hallucinations were present at baseline in 106 (23%) and 88 (19%) of patients on rivastigmine and placebo, respectively.

Of the individual items on the BEHAVE-AD, the paranoid and delusional ideation item was present at baseline in 69% of hallucinators and 37% of non-hallucinators; the diurnal rhythm disturbances item was present in 31% of hallucinators and 17% of non-hallucinators; and the aggressiveness item was present in 62% and 41% of hallucinators and non-hallucinators,

Table 1	
Baseline characteristics of AD patients in two large randomized clinical trials of rivastig	gmine
who provided BEHAVE-AD data to confirm the presence or absence of hallucinations (s	safety
population) [13,14]	

Characteristic	Hallucinations	at baseline	No hallucination	ns at baseline
	Rivastigmine	Placebo	Rivastigmine	Placebo
n	106	88	356	376
Mean age (years)	73.5	74.0	72.3	73.5
Men women (%)	33:67	33:67	37:63	43:57
Mean weight (kg)	65.7	64.4	66.6	66.8
Caucasian (%)	99	95	97	96
Mean duration of AD (months)	42.0	40.3	37.7	39.5
Mean baseline MMSE	18.4	19.1	20.3	20.2
Mean baseline GDS*	4.3	4.1	3.9	4.0
Mean baseline ADAS-cog*	27.1	26.3	21.8	21.6
Mean baseline PDS*	43.2	45.2	56.6	56.4

*Baseline values from ITT population; patient numbers may vary slightly from safety population.





respectively. The activity disturbance item was reported at baseline for 82% of patients with and 59% of patients without hallucinations; the affective disturbances item was present in 59% of hallucinators and 44% of non-hallucinators; and the anxieties and phobias item was recorded at baseline for 84% of patients with hallucinations and 69% of patients without.

Changes in the placebo group

Patients with hallucinations at baseline tended to show a greater decline on placebo compared with patients without hallucinations, on all outcome measures (Table 2).

These findings were supported by the additional analyses performed on the mITT and OC populations. However, in the case of the OC analysis on the BEHAVE-AD, the trend for greater placebo decline in patients with hallucinations at baseline compared to those without was reversed, with non-hallucinators experiencing a slightly greater decline on placebo than hallucinators (a decline of 0.2 points compared with 0.1 points, respectively).

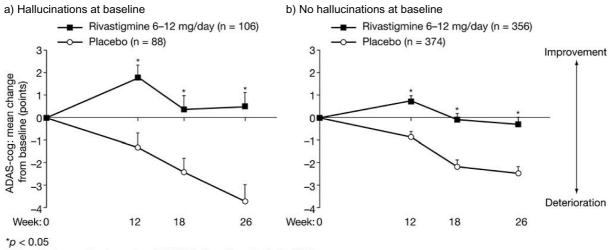
Doses

Over 6 months, the mean doses of rivastigmine in mg/day (\pm SD) received by hallucinators and non-

			Chang	Change from baseline	ine (IT	(ITT population)						Treatment c	lifferen	ce, rivastigm	ine 6-1.	Treatment difference, rivastigmine 6-12 mg/day versus placebo	sus plac	cebo		
		Halluc	Hallucinators			Non-hallucinators	ucinato	SIC		T.	LI			Llm	\mathbf{TT}			0	00	
	Riv.	Rivastigmine		Placebo	Riv	Rivastigmine	F	Placebo	Ha	Hallucinators		Non-	Hal	Hallucinators		Non-	Hal	Hallucinators	4	Non-
	6-1	6-12 mg/day				6-12 mg/day					hali	hallucinators			hali	hallucinators			hallu	hallucinators
	u	n Mean (SD)	u	n Mean (SD)	u	Mean (SD)	ц	Mean (SD)	na	Mean (SE)	na	Mean (SE)	na	Mean (SE)	na	Mean (SE)	na	Mean (SE)	n ^a N	Mean (SE)
$ADAS-cog^b$	106	0.5	88	-3.7	356	-0.3	374	-2.5	106,	3.7^{**}	356,	2.2^{**}	81,	5.4**	289,	2.3**	62,	5.7**	234,	2.4^{**}
		(6.53)		(6.96)		(5.69)		(5.88)	88	(1.06)	374	(0.42)	78	(1.05)	354	(0.47)	99	(1.18)	322	(0.53)
CIBIC-plus ^b	96	-0.1	80	0.9	332	0.1	364	0.4	96,	-1.0^{**}	332,	-0.3*	81,	-1.1^{**}	286,	-0.3*	62,	-1.1^{**}	234,	-0.3*
		(1.53)		(1.29)		(1.25)		(1.09)	80	(0.21)	364	(0.0)	77	(0.22)	357	(0.0)	67	(0.26)	318	(0.10)
PDS^{b}	106	-1.2	88	-6.5	355	-1.1	372	-3.4	106,	6.3**	355,	2.2*	83,	8.5**	288,	2.5*	61,	8.7**	234,	3.2**
		(11.42)		(11.64)		(11.39)		(10.98)	88	(1.75)	372	(0.8)	79	(1.88)	350	(0.88)	68	(2.20)	317	(0.97)
MMSE ^b	106	0.1	88	-1.7	357	0.2	376	-0.4	106,	1.9^{**}	357,	0.5^{*}	73,	2.0^{**}	270,	0.7*	73,	2.0^{**}	264,	0.7*
		(3.44)		(3.18)		(3.07)		(3.05)	88	(0.50)	376	(0.23)	LL	(0.56)	342	(0.25)	LL	(0.56)	341	(0.26)
GDS ^b	106	-0.1	88	-0.5	356	-0.1	375	-0.2	106,	0.4^{**}	356,	0.1^{*}	73,	0.3^{*}	271,	0.1^{*}	73,	0.3^{*}	267,	0.1^{*}
		(0.64)		(0.86)		(0.71)		(0.64)	88	(0.10)	375	(0.05)	78	(0.12)	341	(0.05)	78	(0.12)	340	(0.05)
BEHAVE-AD ^c 106	106	-1.0	88	0.5	357	0.0	376	0.3	106,	1.5*	357,	0.3	87,	2.2*	301,	0.4	78,	1.6^{*}	275,	0.4
		(66.9)		(6.19)		(4.26)		(3.79)	88		376		79		360		72		333	
*p < 0.05; **p < 0.001.	p < 0.	001.																		
ITT, intention to treat; mITT, modified intention to treat;	o treat;	mITT, modi	fied int	ention to trea	it; OC,	OC, observed case; SD, standard deviation; SE, standard error of the mean	2; SD, S	standard devi.	ation; S	E, standard e.	rror of t _i	he mean.								
Negative mean changes from baseline on the CIBIC-plus and BEHAVE-AD indicate improvement. A negative treatment difference on the CIBIC-plus indicates improvement in comparison to placebo. Negative	change	s from baseli	ine on t	he CIBIC-plu	I pue sr	BEHAVE-AD	indicat	te improveme	nt. Ar	negative treatn	nent difi	erence on the	e CIBI(C-plus indica	tes impi	ovement in c	ompari	ison to placeb	o. Nega	tive
mean changes from baseline on the ADAS-cog, PDS, MMSE and GDS indicate deterioration.	from ba.	seline on the	ADA	S-cog, PDS, I	MMSE	and GDS indi	icate de	eterioration.												
^a Patient numbers are provided in the form (n^1, n^2) where n^1	ers are n	provided in th	he forn	ו (n ¹ . n ²) או	here n ¹	$=$ rivastigmine 6–12 mg/dav and $n^2 =$ placebo.	ne 6–1	2 mg/dav and	$1 n^2 =$	placebo.										
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Table 2	ondary outcome measures, l
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^b Adjusted mean difference from comparisons of least-squares means from the primary ANCOVA model. *p*-value is from primary ANCOVA model using treatment and country as factors with interaction between treatment and country, if significant, and baseline ADAS-cog/PDS/MMSE/GDS (as appropriate) as covariate. Country factor is made of two levels: US and Canada, and European countries. ^cTreatment difference calculated as the mean change from baseline for placebo minus the mean change from baseline for rivastigmine 6–12 mg/day. *p*-value based on van Elteren test blocking for country. Country factor is made of two levels: US and Canada, and European countries.



ITT analysis, p-value based on ANCOVA. Error bars indicate SEM.

Fig. 2. ADAS-cog scores in AD patients a) with and b) without hallucinations at baseline receiving either rivastigmine 6–12 mg/day or placebo (SEM, standard error of the mean).

hallucinators were 7.66 ± 2.25 and 7.91 ± 2.32 respectively. In the hallucinators group, 45% of patients on rivastigmine received the drug for the full 26 weeks, compared with 63% of patients randomized to placebo. In the group of patients without hallucinations at baseline, 55% of patients on rivastigmine received the drug for 26 weeks, compared to 71% of patients given placebo.

Treatment responses

Cognitive performance

At 6 months, a mean improvement of 0.5 points on the ADAS-cog was seen in patients with hallucinations at baseline treated with rivastigmine, while patients without hallucinations at baseline showed a 0.3point decline (Fig. 2). Significant rivastigmine – placebo treatment differences were seen in both populations (Table 2). These results were supported by the additional analyses performed on the mITT and OC populations. A statistically significant interaction between subgroup and treatment was observed for the mITT and OC populations (both p < 0.05), indicating a differential treatment effect in the hallucinator and nonhallucinator groups.

CIBIC-plus

A significant rivastigmine – placebo difference was seen on the CIBIC-plus in hallucinators at 6 months (Table 2), with 36% *versus* 10% of patients improving and 37% *versus* 63% worsening, with rivastigmine and placebo respectively. Non-hallucinators showed a smaller, but still statistically significant treatment difference (Table 2), with 27% *versus* 19% of patients improving and 34% *versus* 43% worsening with rivastigmine and placebo, respectively. These results were supported by the mITT and OC analyses. Statistically significant interactions between baseline hallucination status and treatment were observed for the ITT, mITT and OC populations (all p < 0.05), indicating significant differences in treatment effects between hallucinators and non-hallucinators.

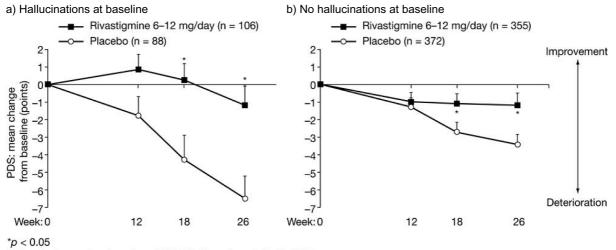
Activities of daily living

Both hallucinators and non-hallucinators treated with rivastigmine showed a similar, slight decline (1.2 and 1.1 points, respectively) on the PDS at 6 months (Fig. 3). Significant rivastigmine – placebo differences were seen in both groups (Table 2). This treatment effect was statistically significantly different between hallucinators and non-hallucinators, as interactions between baseline hallucination status and treatment were significant for the ITT, mITT and OC populations (all p < 0.05).

Neuropsychiatric symptoms

As in previous studies analyzing overall and individual domain scores on the Neuropsychiatric Inventory [31,32], both total BEHAVE-AD score and individual item scores were considered. Patients with hallucinations at baseline showed a significant rivastigmine – placebo difference on the BEHAVE-AD total





ITT analysis, p-value based on ANCOVA. Error bars indicate SEM.

Fig. 3. PDS scores in AD patients a) with and b) without hallucinations at baseline receiving either rivastigmine 6–12 mg/day or placebo (SEM, standard error of the mean).

score at 6 months (Table 2). These patients showed a non-significant treatment difference of 0.2 points on the hallucinations item at 6 months (p = 0.486); significant differences were seen on individual item scores for paranoid and delusional ideation and activity disturbance (all p < 0.05) (Fig. 4). Non – hallucinators showed a non-significant treatment difference on the total BEHAVE-AD score (Table 2). There were significant differences on individual item scores for paranoid and delusional ideation and activity disturbance (all p < 0.05) (Fig. 4). Rivastigmine – placebo differences on the BEHAVE-AD total score were not significantly different between hallucinators and non-hallucinators (p = 0.227).

Staging measures

At Week 26 all patients receiving rivastigmine showed significant treatment differences *versus* placebo on both the MMSE and GDS (Table 2). These results were supported by the additional analyses performed on the mITT and OC populations. Interactions between baseline hallucination status and treatment were found to be statistically significant in the ITT population only, for both the MMSE and GDS (both p < 0.05).

Safety and tolerability

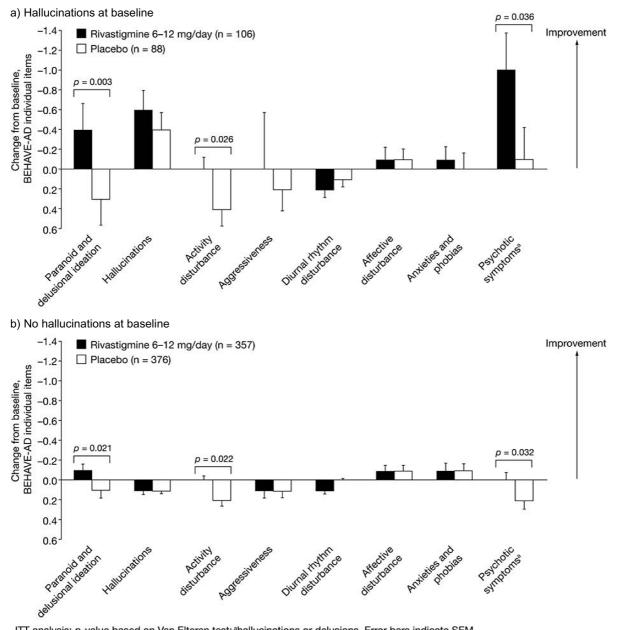
The most commonly reported adverse events for patients given rivastigmine, in both the hallucinator and non-hallucinator groups, were nausea and vomiting (Table 3), which in both groups occurred significantly more frequently with rivastigmine than with placebo (p < 0.05). These side-effects led to study discontinuation in 13% and 9% of hallucinators, and 12% and 6% of non-hallucinators, for nausea and vomiting respectively. Other frequently reported adverse events are listed in Table 3.

Vital signs and ECG evaluation

Patients without hallucinations at baseline treated with rivastigmine experienced a mean decrease in resting pulse rate over 6 months that was statistically significant compared to patients given placebo (-2.3 bpm *versus* 0.4 bpm respectively, p = 0.001). There was no similar significant difference observed in patients with hallucinations at baseline (-1.5 bpm versus -1.3 bpm for rivastigmine and placebo respectively, p = 0.902). This observation was reinforced by measurements of heart rate performed during ECG evaluations, where non-hallucinators, but not hallucinators, given rivastigmine experienced a statistically significant decrease over 6 months compared to those on placebo (-2.2 bpm *versus* 0.0 bpm, p = 0.019 for non-hallucinators; -0.2bpm versus -1.1 bpm, p = 0.659 for hallucinators). There were no other significant differences observed between patients randomized to rivastigmine and those given placebo.

Adjustment for multiple comparisons

The results reported here are intended for hypothesis generation only, and no correction for multiplicity



ITT analysis; p-value based on Van Elteren test; *hallucinations or delusions. Error bars indicate SEM.

Fig. 4. BEHAVE-AD scores at 6 months in AD patients a) with and b) without hallucinations at baseline receiving either rivastigmine 6–12 mg/day or placebo (SEM, standard error of the mean).

was carried out in this analysis. However, to evaluate what the impact of multiple comparisons on the results of this analysis would be if this analysis *was not* solely exploratory, a conservative Bonferroni method was applied to correct alpha, the level of significance for multiple comparisons. Using this method, the adjusted level of significance, corrected to account for the multiple comparisons of rivastigmine *versus* placebo reported here, was 0.000962 (< 0.001). If this corrected level of significance were to be applied to the data reported here, the outcome (in terms of statistical significance) would remain unchanged for the results of the ADAS-cog; however, the results of the CIBIC-plus, PDS and MMSE would be significantly different between rivastigmine and placebo in the hallucinator group only, for all three study populations. The

308

	Hallucinate	ors (%)	Non-hallucii	nators (%)
Adverse event ^a	Rivastigmine	Placebo	Rivastigmine	Placebo
	(n = 106)	(n = 88)	(n = 356)	(n = 376)
At least one adverse event	90	82	93*	78
Nausea	51*	8	52*	12
Vomiting	33*	5	33*	5
Dizziness	28*	10	22*	11
Anorexia	25*	2	16*	3
Diarrhea	24*	10	20*	13
Headache	21	14	19*	12
Fatigue	13	6	13*	4
Abdominal pain	12	6	12*	6
Agitation	11	18	7	5
Insomnia	11	10	10	7
Accidental trauma	10	6	7	9
Hallucination	10	8	3	2
Confusion	10	11	8	5
Asthenia	9*	0	7	4
Depression	8	7	6	5
Malaise	8	3	6*	< 1
Coughing	7	1	2*	5
Nervousness	7	1	5	4
Upper respiratory tract infection	6	7	8	9
Rhinitis	6	1	4	4
Dyspepsia	6	3	8*	3
Anxiety	6	2	6	3
Hypertension	6	1	3	2
Urinary tract infection	5	5	8	6
Constipation	5	3	4	4
Vertigo	5	2	3	2
Ataxia	5	1	1	1
Weight decrease	5	0	2	< 1
Overdose	5	7	4	6
Abnormal gait	4	5	2*	0
Back pain	< 1	6	4	4
Fever	< 1	5	< 1	< 1
Increased sweating	2	1	6*	2
Tremor	4	1	5*	2
Flatulence	3	2	5*	1
Pain	4	2	3	5

Table 3
Most frequently reported adverse events

^aOnly those adverse events occurring in at least 5% of patients in any group are reported;

* p < 0.05 versus placebo, Fisher's Exact Test.

results of the GDS would be significantly different between rivastigmine and placebo in the ITT population for the hallucinator group only, and the results of the BEHAVE-AD (total score and individual item scores) would no longer be significantly different between rivastigmine and placebo in hallucinators.

DISCUSSION

The aim of this pooled analysis was to compare the treatment effects of rivastigmine *versus* placebo in sub-populations of mild-to-moderate AD patients with and without hallucinations at baseline. A greater place-

bo decline was observed in hallucinators compared with non-hallucinators. The presence of hallucinations was found to be associated with significantly greater rivastigmine *versus* placebo treatment differences on most efficacy outcomes in the primary analysis.

Rivastigmine treatment led to slight improvements or stabilization on measures of cognition and functioning in both hallucinators and non-hallucinators, but the increased placebo decline in patients with hallucinations at baseline resulted in significantly greater treatment differences on the ADAS-cog and PDS. On measures of global performance (CIBIC-plus and GDS), more improvement relative to placebo was seen in the hallucination group receiving rivastigmine. Hallucinations in AD have previously been associated with more rapid cognitive and functional decline [5], increased risk of mortality [28], and greater cortical cholinergic deficits [29–31]. In this study, while both hallucinators and non-hallucinators entered the study at a similar stage of AD as assessed by the MMSE and GDS, other outcome measures showed a trend towards greater deficits at baseline in hallucinators (Table 1). Cognition, as measured by the ADAS-cog, was more impaired at baseline in hallucinators compared with non-hallucinators (26.7 versus 21.7 points), as was the ability to perform activities of daily living, as assessed by the PDS (44.1 points versus 56.5 points for hallucinators and non-hallucinators respectively).

Analyses suggest that rivastigmine provides greater benefit in groups of patients experiencing a more aggressive course of dementia [29,30,32]. As in this study, larger drug placebo differences are observed in groups with greater decline. Although there is no standard definition for what constitutes an aggressive course of disease, the presence of hallucinations in a patient with dementia may predict a more rapid course of disease progression [32].

The cholinergic brainstem reticular formation system relays its influence on cortical function mainly through thalamic nuclei [33]. This cholinergic pathway is damaged in dementias associated with Lewy body pathology but is intact in most AD patients, except putatively those with hallucinations [34-36]. The hypothesis that brainstem cholinergic neuronal pathways are damaged in AD associated with hallucinations is supported by evidence of dysfunction of brainstem autonomic nuclei, with reduced vagally-mediated effects of rivastigmine on heart rate in hallucinators that were not observed in non-hallucinators. Rivastigmine has demonstrated larger treatment differences versus placebo in DLB and PDD patients with visual hallucinations, possibly due to the greater cholinergic neuronal deficits in areas of the brain associated with the pathophysiology of hallucinations [11,12,37].

The findings of this retrospective pooled analysis should be interpreted with caution. Some of these patients, diagnosed in the 1980s and 90s as suffering from AD with hallucinations, may have fulfilled recently introduced criteria for the diagnosis of DLB. As no correction for multiplicity was carried out in this analysis, the results and *p*-values reported here are intended for hypothesis generation only. The data are consistent with previous studies in PDD and DLB patients receiving rivastigmine, and are suggestive of a differential treatment effect in hallucinators and non-hallucinators. The comparison-adjusted data are consistent with the unadjusted analyses in suggesting that the effect of rivastigmine treatment is greater in patients with hallucinations.

In conclusion, statistically significant rivastigmine *versus* placebo treatment differences were seen in both hallucinators and non-hallucinators on all outcome measures reported in the pooled analyses of two trials of oral rivastigmine. The differences on cognitive, functional, global, and behavioral measures tended to be greater in patients with hallucinations at baseline compared with those without. There was greater decline in patients with hallucinations, suggesting that this subgroup has a more aggressive form of AD.

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